# CONFORMAL AND QUASICONFORMAL CATEGORICAL REPRESENTATION OF HYPERBOLIC RIEMANN SURFACES

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ABSTRACT. In this paper, we consider various categories of hyperbolic Riemann surfaces and show, in various cases, that the *conformal* or *quasiconformal* structure of the Riemann surface may be reconstructed, up to possible confusion between holomorphic and anti-holomorphic structures, in a natural way from such a category. The theory exposed in the present paper is motivated partly by a classical result concerning the categorical representation of sober topological spaces, partly by previous work of the author concerning the categorical representation of arithmetic log schemes, and partly by a certain *analogy with p-adic anabelian geometry* — an analogy which the theory of the present paper serves to render more explicit.

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# Introduction

In this paper, we continue our study [cf., [Mzk2], [Mzk10]] of the topic of representing various objects that appear in conventional arithmetic geometry by means of categories. As discussed in [Mzk2], [Mzk10], this point of view is partially motivated by the anabelian philosophy of Grothendieck [cf., e.g., [Mzk3], [Mzk4], [Mzk5]], and, in particular, by the more recent work of the author on absolute anabelian geometry [cf. [Mzk6], [Mzk7], [Mzk8], [Mzk9], [Mzk11], [Mzk12]].

One way to think about anabelian geometry is that it concerns the issue of representing schemes by means of categories [i.e., Galois categories] that capture certain aspects of the [étale] *topology* of the scheme [i.e., its fundamental group]. From this point of view, another important, albeit elementary, example of the issue

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of representing a "space" by means of a "category of topological origin" is the wellknown example of the category of open subsets of a sober topological space [cf., e.g., [Mzk2], Theorem 1.4; [Mzk10], Proposition 4.1]. In some sense, this example is the example that motivated the construction of the categories appearing in the present paper.

The *main results* of this paper may be summarized as follows:

- (1) The holomorphic structure of a hyperbolic Riemann surface of finite type may be reconstructed, up to possible confusion with the corresponding anti-holomorphic structure, from a certain category of localizations of the Riemann surface that includes the upper half-plane uniformization of the Riemann surface, together with its natural  $PSL_2(\mathbb{R})$ -action [cf. Theorem 1.12].
- (2) Given a hyperbolic Riemann surface of finite type equipped with a nonzero logarithmic square differential, one may define certain categories of parallelograms, rectangles, or squares associated to this data. Then [isomorphism classes of] equivalences between corresponding categories of parallelograms (respectively, rectangles; squares) are in natural bijective correspondence with [quasiconformal] Teichmüller mappings (respectively, conformal mappings) between such Riemann surfaces equipped with differentials, again up to possible confusion between holomorphic and anti-holomorphic structures [cf. Theorem 2.3].

Here, we note that the categories of (2) are especially close to the "categories of open subsets of a sober topological space" referred to above — i.e., roughly speaking, instead of considering *all* the open subsets of the Riemann surface, one restricts oneself to those which are "*parallelograms*" (or, alternatively, "*rectangles*", or "*squares*"), in a sense determined by the *natural parameters* [i.e., of Teichmüller theory — cf., e.g., [Lehto], Chapter IV, §6.1] associated to the given square differential.

On the other hand, from another point of view, the main motivation for the results obtained in this paper came from the analogy with *p*-adic anabelian geometry. This analogy has been pointed out previously by the author [cf., e.g., [Mzk1], Introduction, §0.10; [Mzk5], §3]. In some sense, however, the theory of the present paper allows one to make this analogy more explicit. Indeed, at the level of "objects under consideration" the theory of the present paper suggests a certain "dictionary", as summarized in Table 1 below.

The first two non-italicized rows of Table 1 are motivated by the fact that the datum of a nonzero *logarithmic square differential* may be thought of, in the context of Teichmüller theory, as the datum of a *geodesic* in Teichmüller space. In particular, if one thinks of oneself as only knowing the differential *up to a nonzero complex multiple* [cf. Theorem 2.3], then one is, in essence, working with a "complex *Teichmüller geodesic*". Moreover, just as such a "complex geodesic" is of "holomorphic dimension" one and "real/topological dimension" two, the spectrum of the ring of integers of a *p*-adic local field *K* is of algebraic dimension one, while the absolute Galois group  $G_K$  of the *p*-adic local field *K* is of cohomological dimension two. This observation also motivates the point of view of the third non-italicized row of Table 1, which is also discussed in [Mzk1], Introduction, §0.10. From the point of view of this third non-italicized row of Table 1, the conformal structure may be thought of as the *metric, or "angular", structure* of the S<sup>1</sup> acting by rotations locally on the surface. On the other hand, from the point of view of *p*-adic anabelian geometry, one may completely recover the algebraic structure of the *p*-adic curve in question [cf. the main result of [Mzk4]], so long as one restricts oneself to working with *geometric* isomorphisms [i.e., isomorphisms arising from isomorphisms of fields] of the absolute Galois groups of the *p*-adic local fields in question. Moreover, as one sees from the theory of [Mzk3], this geometricity condition corresponds to the *preservation of the metric structure* of the copy of the units  $\mathcal{O}_K^{\times}$  inside the abelianization  $G_K^{ab}$  of  $G_K$  [more precisely, the preservation of such metric structures for all open subgroups of  $G_K$ ].

complex case	p-adic case
the given Riemann surface	the logarithmic special fiber
a complex Teichmüller geodesic	a lifting of the special fiber
originating from the given	to a hyperbolic curve over a
Riemann surface	p-adic local field $K$
action of $\mathbb{C}^{\times}$ on the	action of the absolute Galois
surface by rotations $(\mathbb{S}^1 \subseteq \mathbb{C}^{\times})$	group $G_K$ on [the Galois
and flows $(\mathbb{R}^{\times} \subseteq \mathbb{C}^{\times})$	category associated to]
	the profinite geometric
	fundamental group
squares, rectangles, as opposed	preservation of the metric
to parallelograms — i.e., preservation	structure of the copy
of the metric structure of $\mathbb{S}^1$	of $\mathcal{O}_K^{\times}$ in $G_K^{\mathrm{ab}}$

Table 1: Dictionary of objects under consideration

This "dictionary of objects under consideration" then suggests a "dictionary of results", as summarized in Table 2 below. The analogy between the "p-adic Teichmüller theory" of [Mzk1] [and, in particular, the canonical representation constructed in this theory] and the upper half-plane uniformization of a hyperbolic Riemann surface of finite type is one of the cornerstones of the theory of [Mzk1]; in particular, a lengthy discussion of this analogy may be found in the Introduction to [Mzk1]. Also, relative to the issue of "reconstructing the original hyperbolic curve or Riemann surface", it is interesting to note that just as Theorem 1.12 does not require the datum of a logarithmic square differential, the absoluteness of canonical liftings [cf. [Mzk7], Theorem 3.6] only involves the datum of the logarithmic special fiber — i.e., there is no "choice" of a p-adic lifting involved [just as there is no "choice" of a complex Teichmüller geodesic in Theorem 1.12]. By contrast, just as the results on the left-hand side of the second and third non-italicized rows of Table 2 do involve the choice of such a complex Teichmüller geodesic, the hyperbolic

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curves involved on the right-hand side of the second and third non-italicized rows of Table 2 require the *choice* of a *p*-adic lifting of the logarithmic special fiber. As suggested by the dictionary of Table 1, the "preservation of the metric structure of the units" [i.e.,  $\mathbb{S}^1 \subseteq \mathbb{C}^{\times}$  or  $\mathcal{O}_K^{\times} \subseteq G_K^{ab}$ ] corresponds to complete reconstruction of the conformal structure of the Riemann surface or the algebraic structure of the *p*-adic curve in the second and third non-italicized rows of Table 2. On the other hand, reconstruction of the quasiconformal structure of the Riemann surface [essentially a topological invariant] corresponds, in the final row of Table 2, to the reconstruction of the dual semi-graph [also essentially a topological invariant] of the logarithmic special fiber, in the absence of the "preservation of the metric structure of the units". Also, it is interesting to note that the theory of the first non-italicized row of Table 2 is not functorial with respect to ramified coverings of the Riemann surface/non-admissible coverings of the *p*-adic hyperbolic curve, whereas the theory of the latter three non-italicized rows of Table 2 is functorial with respect to such coverings.

complex case	p-adic case
categorical representation	the canonical representation
via the upper half-plane	of <i>p</i> -adic Teichmüller theory,
uniformization	the absoluteness of canonical liftings
[cf. Theorem $1.12$ ]	[cf. [Mzk1]; [Mzk7], Theorem 3.6]
conformal structure via	relative $p$ -adic profinite version
categories of rectangles	of the Grothendieck Conjecture
[cf. Theorem 2.3, (iii)]	[cf. [Mzk4], Theorem A]
conformal structure via	relative $p$ -adic pro- $p$ version
categories of squares	of the Grothendieck Conjecture
[cf. Theorem 2.3, (iii)]	[cf. [Mzk4], Theorem A]
quasiconformal structure	reconstruction of dual semi-graph
via categories of	of logarithmic special fiber via
parallelograms	absolute $p$ -adic pro-prime-to- $p$
[cf. Theorem 2.3, (ii)]	anabelian geometry or its
	tempered analogue
	[cf. [Mzk6], Lemma 2.3;
	[Mzk11], Corollary 3.11]

Table 2: Dictionary of results

Here, we remark that although it is quite possible that the *relative* p-adic profinite [or pro-p] versions of the Grothendieck Conjecture proven in [Mzk4] admit *absolute* generalizations [cf., e.g., [Mzk12], Corollary 2.12], if [as on the right-hand side of the fourth non-italicized row of Table 2] one restricts oneself to the *proprime-to-p* portion of the geometric fundamental group, then there is no hope [cf. the unbridgeable gap between conformal and quasiconformal structures!] of recovering the generic fiber of the original p-adic curve from the outer Galois action on the pro-prime-to-p geometric fundamental group, since this outer Galois action is completely determined by the logarithmic special fiber.

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#### Section 0: Notations and Conventions

# Numbers:

The notation  $\mathbb{Z}$  (respectively,  $\mathbb{R}$ ;  $\mathbb{C}$ ) will be used to denote the set of *rational* integers (respectively, real numbers; complex numbers).

# **Topological Groups:**

A homomorphism of topological groups  $G \to H$  will be called *dense* if the image of G is dense in H.

A topological group G will be called *tempered* [cf. [Mzk11], Definition 3.1, (i)] if G is isomorphic, as a topological group, to an inverse limit of an inverse system of surjections of countable discrete topological groups.

#### **Categories:**

Let  $\mathcal{C}$  be a *category*. We shall denote by

 $Ob(\mathcal{C})$ 

the collection of *objects* of  $\mathcal{C}$ . If  $A \in Ob(\mathcal{C})$  is an *object* of  $\mathcal{C}$ , then we shall denote by

 $\mathcal{C}_A$ 

the category whose *objects* are morphisms  $B \to A$  of  $\mathcal{C}$  and whose morphisms (from an object  $B_1 \to A$  to an object  $B_2 \to A$ ) are A-morphisms  $B_1 \to B_2$  in  $\mathcal{C}$ . Thus, we have a *natural functor* 

 $(j_A)_!: \mathcal{C}_A \to \mathcal{C}$ 

(given by forgetting the structure morphism to A).

We shall call an object  $A \in Ob(\mathcal{C})$  terminal if for every object  $B \in Ob(\mathcal{C})$ , there exists a unique arrow  $B \to A$  in  $\mathcal{C}$ .

We shall refer to a *natural transformation* between functors all of whose component morphisms are *isomorphisms* as an *isomorphism between the functors* in question. A functor  $\phi : \mathcal{C}_1 \to \mathcal{C}_2$  between categories  $\mathcal{C}_1, \mathcal{C}_2$  will be called *rigid* if  $\phi$ has no nontrivial automorphisms. A category  $\mathcal{C}$  will be called *slim* if the natural functor  $\mathcal{C}_A \to \mathcal{C}$  is *rigid*, for every  $A \in Ob(\mathcal{C})$ .

A diagram of functors between categories will be called 1-commutative if the various composite functors in question are *isomorphic*. When such a diagram "commutes in the literal sense" we shall say that it 0-commutes. Note that when a diagram in which the various composite functors are all rigid "1-commutes", it follows from the rigidity hypothesis that any isomorphism between the composite functors in question is necessarily unique. Thus, to state that such a diagram 1-commutes

does not result in any "loss of information" by comparison to the datum of a *specific isomorphism* between the various composites in question.

We shall say that a nonempty [i.e., non-initial] object  $A \in Ob(\mathcal{C})$  is connected if it is not isomorphic to the coproduct of two nonempty objects of  $\mathcal{C}$ . We shall say that an object  $A \in Ob(\mathcal{C})$  is mobile (respectively, infinitely mobile) if there exists an object  $B \in Ob(\mathcal{C})$  such that the set  $Hom_{\mathcal{C}}(A, B)$  has cardinality  $\geq 2$  [i.e., the diagonal from this set to the product of this set with itself is not bijective] (respectively, infinite cardinality). We shall say that an object  $A \in Ob(\mathcal{C})$  is quasi-connected if it is either immobile [i.e., not mobile] or connected. Thus, connected objects are always quasi-connected. If every object of a category  $\mathcal{C}$  is quasi-connected, then we shall say that  $\mathcal{C}$  is a category of quasi-connected objects. We shall say that a category  $\mathcal{C}$  is totally (respectively, almost totally) epimorphic if every morphism in  $\mathcal{C}$  whose domain is arbitrary (respectively, nonempty) and whose codomain is quasi-connected is an epimorphism.

We shall say that C is of finitely (respectively, countably) connected type if it is closed under formation of finite (respectively, countable) coproducts; every object of C is a coproduct of a finite (respectively, countable) collection of connected objects; and, moreover, all finite (respectively, countable) coproducts  $\coprod A_i$  in the category satisfy the condition that the natural map

$$\coprod \operatorname{Hom}_{\mathcal{C}}(B, A_i) \to \operatorname{Hom}_{\mathcal{C}}(B, \coprod A_i)$$

is bijective, for all connected  $B \in Ob(\mathcal{C})$ . If  $\mathcal{C}$  is of finitely or countably connected type, then every nonempty object of  $\mathcal{C}$  is mobile; in particular, a nonempty object of  $\mathcal{C}$  is connected if and only if it is quasi-connected.

If a mobile object  $A \in Ob(\mathcal{C})$  satisfies the condition that every morphism in  $\mathcal{C}$  whose domain is nonempty and whose codomain is A is an *epimorphism*, then A is *connected*. [Indeed,  $C_1 \coprod C_2 \xrightarrow{\sim} A$ , where  $C_1, C_2$  are nonempty, implies that the composite map

$$\operatorname{Hom}_{\mathcal{C}}(A,B) \hookrightarrow \operatorname{Hom}_{\mathcal{C}}(A,B) \times \operatorname{Hom}_{\mathcal{C}}(A,B) \hookrightarrow \operatorname{Hom}_{\mathcal{C}}(C_1,B) \times \operatorname{Hom}_{\mathcal{C}}(C_2,B)$$
$$= \operatorname{Hom}_{\mathcal{C}}(C_1 \coprod C_2,B) \xrightarrow{\sim} \operatorname{Hom}_{\mathcal{C}}(A,B)$$

is *bijective*, for all  $B \in Ob(\mathcal{C})$ .]

If C is a category of finitely or countably connected type, then we shall write

$$\mathcal{C}^0 \subseteq \mathcal{C}$$

for the full subcategory of connected objects. [Note, however, that in general, objects of  $\mathcal{C}^0$  are not necessarily connected — or even quasi-connected — as objects of  $\mathcal{C}^0$ !] On the other hand, if, in addition,  $\mathcal{C}$  is almost totally epimorphic, then  $\mathcal{C}^0$  is totally epimorphic, and, moreover, an object of  $\mathcal{C}^0$  is connected [as an object of  $\mathcal{C}^0$ !] if and only if [cf. the argument of the preceding paragraph!] it is mobile [as an object of  $\mathcal{C}^0$ ]; in particular, [assuming still that  $\mathcal{C}$  is almost totally epimorphic!] every object of  $\mathcal{C}^0$  is quasi-connected [as an object of  $\mathcal{C}^0$ ]. If C is a *category*, then we shall write

 $\mathcal{C}^{\perp}$  (respectively,  $\mathcal{C}^{\top}$ )

for the category formed from  $\mathcal{C}$  by taking arbitrary "formal" [possibly empty] finite (respectively, countable) coproducts of objects in  $\mathcal{C}$ . That is to say, we define the "Hom" of  $\mathcal{C}^{\perp}$  (respectively,  $\mathcal{C}^{\top}$ ) by the formula

$$\operatorname{Hom}(\coprod_{i} A_{i}, \coprod_{j} B_{j}) \stackrel{\text{def}}{=} \prod_{i} \coprod_{j} \operatorname{Hom}_{\mathcal{C}}(A_{i}, B_{j})$$

[where the  $A_i$ ,  $B_j$  are objects of C]. Thus,  $C^{\perp}$  (respectively,  $C^{\top}$ ) is a category of finitely connected type (respectively, category of countably connected type). Note that objects of C define connected objects of  $C^{\perp}$  or  $C^{\top}$ . Moreover, there are natural [up to isomorphism] equivalences of categories

$$(\mathcal{C}^{\perp})^0 \xrightarrow{\sim} \mathcal{C}; \quad (\mathcal{C}^{\top})^0 \xrightarrow{\sim} \mathcal{C}; \quad (\mathcal{D}^0)^{\perp} \xrightarrow{\sim} \mathcal{D}; \quad (\mathcal{E}^0)^{\top} \xrightarrow{\sim} \mathcal{E}$$

if  $\mathcal{D}$  (respectively,  $\mathcal{E}$ ) is a category of finitely connected type (respectively, category of countably connected type). If  $\mathcal{C}$  is a totally epimorphic category of quasiconnected objects, then  $\mathcal{C}^{\perp}$  (respectively,  $\mathcal{C}^{\top}$ ) is an almost totally epimorphic category of finitely (respectively, countably) connected type.

In particular, the operations "0", " $\perp$ " (respectively, " $\top$ ") define one-to-one correspondences [up to equivalence] between the totally epimorphic categories of quasi-connected objects and the almost totally epimorphic categories of finitely (respectively, countably) connected type.

#### Section 1: Reconstruction via the Upper Half-Plane Uniformization

In this Section, we show that the *conformal structure* of a hyperbolic Riemann surface may be functorially reconstructed — by applying the well-known geometry of the *upper half-plane uniformization* of the Riemann surface — from a certain *category of localizations* naturally associated to the Riemann surface. These categories of localizations are intended to be reminiscent of — i.e., a sort of *archimedean* analogue of — the categories of localizations of [Mzk11], §4.

In the following discussion, we shall denote the [Riemann surface constituted by the] upper half-plane by the notation  $\mathfrak{H}$ . Next, we introduce some terminology:

# Definition 1.1.

(i) We shall refer to a smooth Hausdorff complex analytic stack which admits an open dense subset isomorphic to a complex manifold and [for simplicity] whose universal covering is a complex manifold as a *complex orbifold*.

(ii) We shall refer to a one-dimensional complex orbifold with at most countably many connected components as a *Riemann orbisurface*. We shall refer to a Riemann orbisurface which is a complex manifold [i.e., whose "orbifold structure" is trivial] as a *Riemann surface*.

(iii) We shall refer to a Riemann orbisurface as being of finite type (respectively, of almost finite type) if it may be obtained as the complement of a finite subset (respectively, [possibly infinite] discrete subset) in a compact Riemann orbisurface (respectively, a Riemann orbisurface of finite type).

(iv) We shall refer to a connected Riemann orbisurface X (respectively, arbitrary Riemann orbisurface X) as being an  $\mathfrak{H}$ -domain if there exists a finite [i.e., proper], surjective étale covering  $X' \to X$  such that X' admits an étale [i.e., with derivative everywhere nonzero] holomorphic map  $X' \to \mathfrak{H}$  (respectively, if every connected component of X is an  $\mathfrak{H}$ -domain).

(v) We shall refer to as an *RC-orbifold* [i.e., "real complex orbifold"] a pair  $X^* = (X, \iota_X)$ , where X is a *complex orbifold*, and  $\iota_X$  is an *anti-holomorphic involution* [i.e., automorphism of order 2]; we shall refer to X as the *complexification* of the RC-orbifold  $X^*$  [cf. Remark 1.3.1 below]. Moreover, we shall append the prefix "*RC-*" to the beginning of any of the terms introduced in (i) – (iv) to refer to RC-orbifolds  $X^* = (X, \iota_X)$  for which X satisfies the conditions of the term in question.

(vi) An *RC*-holomorphic map

$$X \to Y$$

between complex orbifolds X, Y is a map which is *either* holomorphic *or* antiholomorphic at each point of X. (vii) A morphism between RC-orbifolds

$$X^* = (X, \iota_X) \to Y^* = (Y, \iota_Y)$$

— where  $X^*$  is connected [i.e.,  $\iota_X$  acts transitively on the set of connected components of X] — is an equivalence class of RC-holomorphic maps  $X \to Y$  compatible with  $\iota_X, \iota_Y$ , where we consider two RC-holomorphic maps equivalent if they differ by composition with  $\iota_X$  [or, equivalently,  $\iota_Y$ ]. A morphism between RC-orbifolds

$$X^* = (X, \iota_X) \to Y^* = (Y, \iota_Y)$$

— where  $X^*$  is not necessarily connected — is the datum of a morphism of RC-orbifolds from each connected component of  $X^*$  to  $Y^*$ .

**Remark 1.1.1.** Note that a *Riemann orbisurface of finite type* admits a *unique algebraic structure* over  $\mathbb{C}$ . We refer to Lemma 1.3, (iii), for the "RC" analogue of this statement.

**Remark 1.1.2.** If X is an  $\mathfrak{H}$ -domain, and  $Y \to X$  is an étale morphism of complex orbifolds, then it is immediate from the definitions that Y is also an  $\mathfrak{H}$ -domain.

**Remark 1.1.3.** If  $Y \to X$  is a finite étale covering of connected Riemann orbisurfaces, then the "symmetric functions" in the various conjugates [i.e., with respect to the finite covering  $Y \to X$ ] of any bounded holomorphic function on Y [e.g., a function arising from a morphism  $Y \to \mathfrak{H}$ ] give rise to various bounded holomorphic functions on X which determine, up to a finite indeterminacy, the original bounded holomorphic function on Y.

Remark 1.1.4. For any morphism of RC-orbifolds

$$\Phi: X^* = (X, \iota_X) \to Y^* = (Y, \iota_Y)$$

there exists a unique holomorphic map  $\phi : X \to Y$  lying in the equivalence class that constitutes  $\Phi$ . Indeed, we may assume without loss of generality that  $X^*$  is connected. Then if  $\phi_1 : X \to Y$  is any RC-holomorphic map lying in  $\Phi$ , then [since  $X^*$  — but not necessarily X! — is connected]  $\phi_1$  is either holomorphic or antiholomorphic. If  $\phi_1$  is holomorphic (respectively, anti-holomorphic), then we take  $\phi \stackrel{\text{def}}{=} \phi_1$  (respectively,  $\phi \stackrel{\text{def}}{=} \iota_Y \circ \phi_1 = \phi_1 \circ \iota_X$ ).

#### Proposition 1.2. (Complex Orbifolds as RC-Orbifolds)

(i) Let X be a complex orbifold; write  $X^c$  for its complex conjugate [i.e., holomorphic functions on  $X^c$  are anti-holomorphic functions on X]. Then

$$\mathfrak{R}: X \mapsto (X \bigcup X^c, \iota_{\mathfrak{R}(X)})$$

— where  $\iota_{\mathfrak{R}(X)}$  switches X, X<sup>c</sup> via the [anti-holomorphic!] identification of their underlying real analytic stacks — determines a fully faithful functor  $\mathfrak{R}$  from the category of complex orbifolds and RC-holomorphic maps into the category of RC-orbifolds [and morphisms of RC-orbifolds].

(ii) Let  $X^* = (X, \iota_X)$  be an **RC-orbifold**. Then there is a natural morphism of **RC-orbifolds** 

 $\Re(X) \to X^*$ 

— which is finite étale of degree 2 — given by mapping  $X \subseteq X \bigcup X^c$  (respectively,  $X^c \subseteq X \bigcup X^c$ ) to X via the identity map (respectively,  $\iota_X$ ).

*Proof.* Immediate from the definitions.  $\Box$ 

### Lemma 1.3. (Removable Singularities)

(i) No  $\mathfrak{H}$ -domain is a Riemann orbisurface of almost finite type.

(ii) A connected  $\mathfrak{H}$ -domain is necessarily hyperbolic [i.e., its universal covering is biholomorphic to  $\mathfrak{H}$ ].

(iii) Any finite étale RC-holomorphic map  $X \to Y$  between Riemann orbisurfaces X, Y of finite type [each of which, by Remark 1.1.1, admits a unique **algebraic structure** over  $\mathbb{C}$ ] is necessarily **algebraic** over  $\mathbb{R}$ . In particular, every RC-Riemann orbisurface of finite type admits a unique **algebraic structure** over  $\mathbb{R}$ .

Proof. Assertion (i) follows immediately [cf. Remark 1.1.3] from the observation that every bounded holomorphic function on a Riemann orbisurface of almost finite type extends to a bounded holomorphic function on a Riemann orbisurface of finite type, hence to a bounded holomorphic function on a compact Riemann orbisurface, which is necessarily *constant*. Assertion (ii) follows from the same fact, applied to the case where the Riemann orbisurface of finite type in question is the *complex plane*. Assertion (iii) follows by observing that the *properness* [i.e., finiteness] assumption implies that this map  $X \to Y$  extends to the *one-point compactifications* of X, Y — which possess a natural structure of [the stack-theoretic version of] *complex analytic space* [i.e., the point at infinity may be *singular*!] — and then applying the well-known fact that holomorphic [hence also RC-holomorphic] maps between algebrizable compact complex analytic spaces are necessarily *algebrizable*.

**Remark 1.3.1.** Thus, just as complex manifolds are an "analytic analogue" of smooth schemes over  $\mathbb{C}$ , RC-manifolds [i.e., "RC-orbifolds" whose stack structure is trivial] are intended to be an *analytic analogue* of *smooth schemes over*  $\mathbb{R}$ . Relative to this analogy, the functor  $\mathfrak{R}$  of Proposition 1.2, (i), is the analogue of the functor

$$(X_{\mathbb{C}} \to \mathbb{C}) \mapsto (X_{\mathbb{C}} \to \mathbb{R})$$

that maps a smooth scheme  $X_{\mathbb{C}}$  over  $\mathbb{C}$  to the underlying  $\mathbb{R}$ -scheme. Similarly, the first datum "X" of an *RC*-complex manifold  $X^* = (X, \iota_X)$ , is the analogue, for a smooth scheme  $X_{\mathbb{R}}$  over  $\mathbb{R}$ , of the associated smooth  $\mathbb{C}$ -scheme  $X_{\mathbb{R}} \otimes_{\mathbb{R}} \mathbb{C}$ , and the étale double cover of Proposition 1.2, (ii), is the analogue of the étale double cover of smooth  $\mathbb{R}$ -schemes

 $X_{\mathbb{R}} \otimes_{\mathbb{R}} \mathbb{C} \to X_{\mathbb{R}}$ 

(given by projection to the first factor).

**Remark 1.3.2.** Note that it follows immediately from Lemma 1.3, (iii), that every Riemann orbisurface of finite type X admits a *canonical compactification* by a compact Riemann orbisurface  $\overline{X} \supseteq X$  whose "stack structure" is trivial near  $\overline{X} \setminus X$ . A similar statement holds for RC-Riemann orbisurfaces.

**Definition 1.4.** Let  $X^* = (X, \iota_X)$  be an RC-orbifold. Then:

(i) We shall refer to the set  $X^*(\mathbb{C})$  of points of X [i.e., points of the "coarse complex analytic space" associated to the stack X] as the set of *complex points* of  $X^*$ .

(ii) We shall refer to the set  $X^*(\mathbb{R}) \subseteq X^*(\mathbb{C})$  of complex points fixed by  $\iota_X$  as the set of *real points* of  $X^*$ .

(iii) We shall refer to the set  $X^*[\mathbb{C}] \stackrel{\text{def}}{=} X^*(\mathbb{C})/\iota_X$  of  $\iota_X$ -orbits of complex points of  $X^*$  as the set of *RC-points* of  $X^*$ .

(iv) We shall refer to  $\mathfrak{H}^* \stackrel{\text{def}}{=} \mathfrak{R}(\mathfrak{H})$  as the *RC-upper half-plane*. We shall refer to an "RC- $\mathfrak{H}$ -domain" [i.e., the "RC" version of an  $\mathfrak{H}$ -domain] as an  $\mathfrak{H}^*$ -domain.

**Remark 1.4.1.** If  $X^* = (X, \iota_X)$  is a connected RC-orbifold, then one verifies easily that  $X^*(\mathbb{R})$  admits a natural structure of *real analytic orbifold* whose real dimension is equal to the complex dimension of X.

Let  $X^* = (X, \iota_X)$  be an *RC-orbifold*. Then note that one may consider the notion of a *covering morphism* [of RC-orbifolds]  $Y^* = (Y, \iota_Y) \to (X, \iota_X)$  [i.e.,  $Y \to X$  is a covering morphism, in the usual sense of algebraic topology]. In particular, if  $X^*$  is *connected*, then, by considering *universal covering morphisms*, we may define the *fundamental group* 

$$\pi_1(X^*)$$

of the RC-orbifold  $X^*$ .

**Proposition 1.5.** (Fundamental Groups of RC-orbifolds) Let  $X^* = (X, \iota_X)$  be a connected RC-orbifold.

(i) If  $X^*$  arises from a complex orbifold, i.e.,  $X^* = \Re(X_0)$  [cf. Proposition 1.2, (i)], then we have a natural isomorphism  $\pi_1(X_0) \xrightarrow{\sim} \pi_1(X^*)$ . In this case, we shall say that  $X^*$  is of complex type.

(ii) If X is connected, then we have a natural exact sequence  $1 \to \pi_1(X) \to \pi_1(X^*) \to \operatorname{Gal}(\mathbb{C}/\mathbb{R}) \to 1$ . Here, the surjection  $\pi_1(X^*) \to \operatorname{Gal}(\mathbb{C}/\mathbb{R})$  corresponds to the double covering of Proposition 1.2, (ii). In this case, we shall say that  $X^*$  is of real type.

(iii) Suppose that X is a hyperbolic Riemann orbisurface. Then  $X^* \cong \mathfrak{H}^*$ if and only if  $\pi_1(X^*) = \{1\}$ .

Proof. Assertions (i) and (ii), as well as the *necessity* portion of assertion (iii), are immediate from the definitions. As for the *sufficiency* portion of (iii), we observe that the condition  $\pi_1(X^*) = \{1\}$  implies, by assertion (ii), that  $X^*$  arises from a *connected Riemann orbisurface*  $X_0$ . Thus, since  $X = X_0 \bigcup X_0^c$  is *hyperbolic*, we conclude [from the definition of "hyperbolic"!] that  $X_0 \cong \mathfrak{H}$ , so  $X^* \cong \mathfrak{H}^*$ , as desired.  $\Box$ 

Next, let us assume that  $X^*$  is a connected hyperbolic RC-Riemann orbisurface of finite type. Write  $\pi_1(X^*)^{\wedge}$  for the profinite completion of  $\pi_1(X^*)$ . Suppose that we have been given a quotient

$$\pi_1(X^*)^{\wedge} \twoheadrightarrow \Pi$$

of profinite groups. Then we may define a category of  $(\Pi$ -)localizations of  $X^*$ 

 $\mathfrak{Loc}_{\Pi}(X^*)$ 

as follows: If  $X^* = (X, \iota_X)$  is of real type (respectively, of complex type, and  $X_0 \subseteq X$  is a connected component of X), then the objects

 $Y^*$  (respectively, Y)

of this category are the RC-Riemann orbisurfaces (respectively, Riemann orbisurfaces) which are *either*  $\mathfrak{H}^*$ -domains (respectively,  $\mathfrak{H}$ -domains) or RC-Riemann orbisurfaces (respectively, Riemann orbisurfaces) of finite type that appear as [not necessarily connected] *finite étale coverings* of  $X^*$  (respectively,  $X_0$ ) that factor through the *quotient*  $\Pi$ . [Here, we recall that by Lemma 1.3, (i), this "either-or" is *mutually exclusive*.] The *morphisms* 

$$Y_1^* \to Y_2^* \text{ (respectively, } Y_1 \to Y_2)$$

of this category are arbitrary étale morphisms of RC-orbifolds (respectively, arbitrary étale holomorphic morphisms) which are, moreover, proper and lie over  $X^*$ (respectively,  $X_0$ ) whenever  $Y_1^*$ ,  $Y_2^*$  (respectively,  $Y_1$ ,  $Y_2$ ) are of finite type. Thus, by Lemma 1.3, (i), [cf. also Remark 1.1.2] the codomain of any arrow with domain of finite type is also of finite type.

To keep the notation and language simple, even when  $X^*$  is of complex type, we shall regard the objects and morphisms of this category as *RC*-orbifolds and morphisms of *RC*-orbifolds, via the fully faithful functor  $\mathfrak{R}$  of Proposition 1.2; moreover, thinking about things in this way renders explicit the independence of  $\mathfrak{Loc}_{\Pi}(X^*)$  of the choice of  $X_0$ , as the notation suggests.

**Lemma 1.6.** (Basic Categorical Properties) Let  $\phi^* : Y_1^* \to Y_2^*$  be a morphism in  $\mathfrak{Loc}_{\Pi}(X^*)$ . Then:

(i) If  $\psi^*: Z_2^* \to Y_2^*$  is a morphism in  $\mathfrak{Loc}_{\Pi}(X^*)$ , then the projection morphisms

$$Y_1^* \times_{Y_2^*} Z_2^* \to Z_2^*; \quad Y_1^* \times_{Y_2^*} Z_2^* \to Y_1^*$$

obtained by forming the fibered product of  $Y_1^*$ ,  $Z_2^*$  over  $Y_2^*$  in the category of **RC-orbifolds** lie in  $\mathfrak{Loc}_{\Pi}(X^*)$ .

(ii)  $\phi^*$  is a **monomorphism** if and only if it factors as the composite of an isomorphism  $Y_1^* \xrightarrow{\sim} Y_3^*$  with an **open immersion**  $Y_3^* \hookrightarrow Y_2^*$ , where  $Y_3^*$  is the object determined by some open subset of  $Y_2^*[\mathbb{C}]$ .

(iii) If  $Y_1^* \neq \emptyset$ , and  $Y_2^*$  is a connected *RC*-orbifold, then  $\phi^*$  is an epimorphism. In particular, the full subcategory of  $\mathfrak{Loc}_{\Pi}(X^*)$  consisting of the connected objects is a totally epimorphic category of quasi-connected objects [cf. §0].

Proof. Assertion (i) is immediate from the definitions if  $Y_1^*$  and  $Z_2^*$  are of finite type; if either  $Y_1^*$  or  $Z_2^*$  is an  $\mathfrak{H}^*$ -domain, then assertion (i) follows by applying the observation of Remark 1.1.2. Assertion (ii) may be reduced to the case where  $Y_2^*$  is of complex type, by base-changing [cf. assertion (i)] via the double covering of Proposition 1.2, (ii) [applied to  $Y_2^*$ ]. When  $Y_2^*$  is of complex type, assertion (ii) follows immediately from the definitions, by considering various maps  $\mathfrak{H}^* \to Y_2^*$ . Finally, assertion (iii) follows from the elementary complex analysis fact that a holomorphic function on a connected domain which vanishes on an open subset is necessarily identically zero.  $\Box$ 

Lemma 1.7. (Infinitely Mobile Opens) Let  $Y^* \in Ob(\mathfrak{Loc}_{\Pi}(X^*))$ . Write

$$\mathfrak{Loc}_{\Pi}(X^*)_{Y^*}^{\rightarrowtail} \subseteq \mathfrak{Loc}_{\Pi}(X^*)_{Y^*}$$

for the **full subcategory** determined by the objects constituted by arrows  $Z^* \to Y^*$  which are **monomorphisms**. Then:

(i) There is a natural fully faithful functor

$$\mathfrak{Loc}_{\Pi}(X^*)_{Y^*}^{\rightarrowtail} \hookrightarrow \operatorname{Open}(Y^*[\mathbb{C}])$$

[where "Open(-)" denotes the category whose objects are open subsets and whose morphisms are inclusions of the topological space in parentheses — cf. [Mzk10], §4] given by assigning to a monomorphism  $Z^* \rightarrow Y^*$  the image of the induced map  $Z^*[\mathbb{C}] \rightarrow Y^*[\mathbb{C}]$ . This functor is an **equivalence** if and only if  $Y^*$  is an  $\mathfrak{H}^*$ -domain.

(ii) If  $Y^*$  is infinitely mobile [cf. §0] as an object of  $\mathfrak{Loc}_{\Pi}(X^*)$ , then  $Y^*$  is an  $\mathfrak{H}^*$ -domain.

Proof. First, let us observe the easily verified — e.g., by cardinality considerations concerning the set of isomorphism classes of objects of  $\mathfrak{Loc}_{\Pi}(X^*)$  which are of finite type — fact that, if  $Y^*$  is of finite type, then there exist open subsets  $U \subseteq Y^*[\mathbb{C}]$ of the form  $Y^*[\mathbb{C}] \setminus E$ , where  $E \subseteq Y^*[\mathbb{C}]$  is a finite set, which do not lie in the essential image of the functor of assertion (i) [cf. Lemma 1.3, (i)]. In light of this observation, assertion (i) is a formal consequence of Lemma 1.6, (ii); Remark 1.1.2. Finally, assertion (ii) is an immediate consequence of the definition of the category  $\mathfrak{Loc}_{\Pi}(X^*)$ .  $\Box$ 

Lemma 1.8. (Category-Theoreticity of the Topological Space of RC-Points) For i = 1, 2, let  $X_i^*$  be a connected hyperbolic RC-Riemann orbisurface of finite type;  $\pi_1(X_i^*)^{\wedge} \rightarrow \Pi_i$  a quotient. Let

$$\Phi:\mathfrak{Loc}_{\Pi_1}(X_1^*) \xrightarrow{\sim} \mathfrak{Loc}_{\Pi_2}(X_2^*)$$

be an equivalence of categories;  $Y_i^* \in Ob(\mathfrak{Loc}_{\Pi_i}(X_i^*))$ ; assume that  $Y_2^* = \Phi(Y_1^*)$ . Then  $\Phi$  induces a homeomorphism

$$Y_1^*[\mathbb{C}] \xrightarrow{\sim} Y_2^*[\mathbb{C}]$$

on the topological spaces of RC-points which is **functorial** in both  $\Phi$  and the  $Y_i^*$ . In particular,  $Y_1^*$  is **of finite type** if and only if  $Y_2^* = \Phi(Y_1^*)$  is of finite type.

Proof. Note that the infinitely mobile objects are manifestly preserved by  $\Phi$  and that  $\mathfrak{H}^*$  is infinitely mobile. In particular, every object of  $\mathfrak{Loc}_{\Pi_i}(X_i^*)$  is covered by infinitely mobile opens. Thus, by functoriality [and an evident "gluing argument"], we may assume, without loss of generality, that the  $Y_i^*$  are infinitely mobile. But then, since the topological spaces  $Y_i^*[\mathbb{C}]$  are clearly sober, the existence of a functorial homeomorphism as desired [as well as the fact that  $\Phi$  preserves objects of finite type] follows from Lemma 1.7, (i), (ii), together with a well-known result from "topos theory" [i.e., to the effect that a sober topological space may be recovered from the category of sheaves on the space — cf., e.g., [Mzk2], Theorem 1.4].  $\Box$ 

**Lemma 1.9.** (Category-Theoreticity of the Fundamental Group) For i = 1, 2, let  $X_i^*$ ,  $\Pi_i$ ,  $\Phi$ ,  $Y_i^*$  be as in Lemma 1.8. Then  $\Phi$  preserves the arrows which

are covering morphisms. In particular,  $\Phi$  preserves isomorphs of  $\mathfrak{H}^*$  and, if the  $Y_i^*$  are connected, induces an isomorphism of groups

$$\pi_1(Y_1^*) \xrightarrow{\sim} \pi_1(Y_2^*)$$

— well-defined up to composition with an inner automorphism — which is functorial in both  $\Phi$  and the choices of universal covering morphism  $Z_i^* \to Y_i^*$  used to define the  $\pi_1$ 's.

*Proof.* Indeed, covering morphisms may be characterized by the existence of local base-changes over which the given morphism *splits* as a *disjoint union* of isomorphs of the base. Thus, the fact that  $\Phi$  preserves covering morphisms follows from Lemmas 1.6, (i); 1.8. The assertion concerning *fundamental groups* then follows formally; the assertion concerning isomorphs of  $\mathfrak{H}^*$  follows from Proposition 1.5, (iii).  $\Box$ 

Lemma 1.10. (Category-Theoreticity of the RC-Orbifold Structure) For i = 1, 2, let  $X_i^*$ ,  $\Pi_i$ ,  $\Phi$ ,  $Y_i^*$  be as in Lemma 1.8. Then  $\Phi$  induces an isomorphism of RC-orbifolds

 $Y_1^* \xrightarrow{\sim} Y_2^*$ 

which is **functorial** in both  $\Phi$  and the  $Y_i^*$  and **compatible** with the homeomorphisms of Lemma 1.8. In particular,  $X_1^*$  (respectively,  $Y_1^*$ ) is **of real type** if and only if  $X_2^*$  (respectively,  $Y_2^*$ ) is.

Proof. Indeed, by functoriality, we may assume, without loss of generality, that the  $Y_i^*$  are connected. Choose universal coverings  $Z_i^* \to Y_i^*$  [so  $Z_i^* \cong \mathfrak{H}^*$ ] which are compatible with  $\Phi$  [cf. Lemma 1.9]. Note that we have an exact sequence of topological groups

$$1 \to SL_2(\mathbb{R})/\{\pm 1\} \to \operatorname{Aut}_{\operatorname{RC-orbifolds}}(\mathfrak{H}^*) \to \operatorname{Gal}(\mathbb{C}/\mathbb{R}) \to 1$$

— where the topology on  $\operatorname{Aut}_{\operatorname{RC-orbifolds}}(\mathfrak{H}^*)$  is that *induced* by the action of  $\operatorname{Aut}_{\operatorname{RC-orbifolds}}(\mathfrak{H}^*)$  on  $\mathfrak{H}^*[\mathbb{C}]$ . In particular,  $\operatorname{Aut}(Z_i^*) \stackrel{\text{def}}{=} \operatorname{Aut}_{\mathfrak{Loc}_{\Pi_i}(X_i^*)}(Z_i^*)$  is *connected* if and only if  $X_i^*$  is *of complex type*. Moreover, by Lemmas 1.8, 1.9,  $\Phi$  induces a *commutative diagram* 

in which the vertical arrows are isomorphisms of topological groups. Note that since  $\operatorname{Aut}(Z_i^*)$  is a real analytic Lie group, we thus conclude [by Cartan's theorem — cf., e.g., [Serre], Chapter V, §9, Theorem 2] that the isomorphism  $\operatorname{Aut}(Z_1^*) \xrightarrow{\sim} \operatorname{Aut}(Z_2^*)$  is, in fact, an isomorphism of real analytic Lie groups.

Next, let us choose maximal connected compact subgroups  $K_i \subseteq \operatorname{Aut}(Z_i^*)$  which are compatible with  $\Phi$ . Then if  $X_i^*$  is of complex type [so  $\operatorname{Aut}(Z_i^*)$  is connected], then let us write  $\operatorname{Aut}(Z_i^*)^0 \stackrel{\text{def}}{=} \operatorname{Aut}(Z_i^*)$ . On the other hand, if  $X_i^*$  is of real type, then we have natural exact sequences

$$1 \to \operatorname{Aut}(Z_i^*)^0 \to \operatorname{Aut}(Z_i^*) \to \operatorname{Gal}(\mathbb{C}/\mathbb{R}) \to 1$$

[where the superscript 0 denotes the connected component containing the identity element] which are *compatible* with  $\Phi$ . Whether  $X_i^*$  is of real or complex type, let us write  $K_i^0 \stackrel{\text{def}}{=} K_i \bigcap \operatorname{Aut}(Z_i^*)^0$ ;  $Y_i^* = (Y_i, \iota_{Y_i})$ . Note that  $Y_i^*$  is of real type if and only if  $\pi_1(Y_i^*) \subseteq \operatorname{Aut}(Z_i^*)$  has image  $\neq \{1\}$  in  $\operatorname{Aut}(Z_i^*)/\operatorname{Aut}(Z_i^*)^0$ . If  $Y_i^*$  is of real type, then  $\pi_1(Y_i) \subseteq \pi_1(Y_i^*)$  may be identified with the kernel of this map to  $\operatorname{Aut}(Z_i^*)/\operatorname{Aut}(Z_i^*)^0$ , and  $Y_i$  equipped with its  $\iota_{Y_i}$ -action is naturally isomorphic to

$$K_i \setminus \operatorname{Aut}(Z_i^*) / \pi_1(Y_i)$$

[where the "/" is in the sense of stacks!] equipped with the natural action by  $\pi_1(Y_i^*)/\pi_1(Y_i) \cong \operatorname{Gal}(\mathbb{C}/\mathbb{R})$  [from the right]. If  $Y_i^*$  is of complex type, then  $Y_i^*$  is naturally isomorphic to the result of applying the functor " $\mathfrak{R}$ " to the Riemann orbisurface

$$K_i^0 \setminus \operatorname{Aut}(Z_i^*)^0 / \pi_1(Y_i^*)$$

[where the "/" is in the sense of stacks!]. Thus, we conclude that [for  $X_i^*$  of real or complex type]  $\Phi$  induces an isomorphism of RC-orbifolds  $Y_1^* \xrightarrow{\sim} Y_2^*$ , as desired.

That this isomorphism is compatible with the homeomorphisms of Lemma 1.8 follows by comparing the respective induced maps on "points" — where we note that in the context of Lemma 1.8 (respectively, the present proof), "points" of, say,  $Z_i^*$ , amount to systems of neighborhoods of an element of  $Z_i^*[\mathbb{C}]$  (respectively, left cosets of  $K_i$  in  $\operatorname{Aut}(Z_i^*)$  or of  $K_i^0$  in  $\operatorname{Aut}(Z_i^*)^0$ ) — by considering the action of  $\operatorname{Aut}(Z_i^*)$ ,  $K_i$  on such systems of neighborhoods. Finally, the functoriality of the isomorphism  $Y_1^* \xrightarrow{\sim} Y_2^*$  with respect to  $\Phi$  (respectively, the  $Y_i^*$ ) is clear (respectively, a consequence of the compatibility with the homeomorphisms of Lemma 1.8).  $\Box$ 

**Corollary 1.11.** (Preservation of Like Parity) For i = 1, 2, let  $X_i^*$ ,  $\Pi_i$ ,  $\Phi$ ,  $Y_i^*$  be as in Lemma 1.8; suppose further that the  $X_i^*$  are of real type. Let  $Z_i^* \in Ob(\mathfrak{Loc}_{\Pi_i}(X_i^*))$ ; assume that  $Z_2^* = \Phi(Z_1^*)$ , and that the  $Y_i^*$  and  $Z_i^*$  are all connected. Suppose that we are given two morphisms

$$\phi_i, \psi_i: Z_i^* \to Y_i^*$$

in  $\mathfrak{Loc}_{\Pi_i}(X_i^*)$  such that  $\phi_2 = \Phi(\phi_1)$ ;  $\psi_2 = \Phi(\psi_1)$ . Then  $\phi_1$ ,  $\psi_1$  have the same "parity" — *i.e.*, their unique holomorphic representatives [cf. Remark 1.1.4] induce the same maps on sets of connected components — if and only if  $\phi_2$ ,  $\psi_2$  do.

*Proof.* Immediate from the functorial isomorphisms of RC-orbifolds of Lemma 1.10.  $\Box$ 

Theorem 1.12. (Categorical Reconstruction of Hyperbolic RC-Riemann Orbisurfaces) For i = 1, 2, let  $X_i^*$  be a connected hyperbolic RC-Riemann orbisurface of finite type;  $\pi_1(X_i^*)^{\wedge} \rightarrow \Pi_i$  a quotient. Then the categories  $\mathfrak{Loc}_{\Pi_i}(X_i^*)$  are slim [cf. §0], and, moreover, any equivalence of categories

$$\Phi:\mathfrak{Loc}_{\Pi_1}(X_1^*) \xrightarrow{\sim} \mathfrak{Loc}_{\Pi_2}(X_2^*)$$

is [uniquely] isomorphic [as a functor] to the equivalence induced by a unique isomorphism of RC-orbifolds  $X_1^* \xrightarrow{\sim} X_2^*$ . That is to say, the natural map

 $\operatorname{Isom}^{\mathfrak{R}}((X_1^*,\Pi_1),(X_2^*,\Pi_2)) \to \operatorname{Isom}(\mathfrak{Loc}_{\Pi_1}(X_1^*),\mathfrak{Loc}_{\Pi_2}(X_2^*))$ 

from isomorphisms of RC-orbifolds  $X_1^* \xrightarrow{\sim} X_2^*$  which admit [uniquely determined, up to inner automorphisms arising from  $\pi_1(X_i^*) - cf$ . Lemma 1.9] compatible isomorphisms  $\Pi_1 \xrightarrow{\sim} \Pi_2$  to isomorphism classes of equivalences between the categories  $\mathfrak{Loc}_{\Pi_i}(X_i^*)$  is **bijective**.

Proof. Indeed, slimness follows, for instance, by considering the functorial homeomorphisms of Lemma 1.8, while the asserted bijectivity follows formally from the functorial isomorphisms of RC-orbifolds of Lemma 1.10. Here, we note that the object  $X_i^*$  of  $\mathfrak{Loc}_{\Pi_i}(X_i^*)$  may be characterized, up to isomorphism, as the object of finite type [cf. Lemma 1.8] which forms a terminal object in the full subcategory of  $\mathfrak{Loc}_{\Pi_i}(X_i^*)$  determined by the objects of finite type.  $\Box$ 

Corollary 1.13. (Induced Isomorphisms of Quotients of Profinite Fundamental Groups) In the notation of Theorem 1.12, the isomorphism

$$\Pi_1 \xrightarrow{\sim} \Pi_2$$

induced by  $\Phi$  [well-defined up to composition with an inner automorphism of  $\Pi_i$ ] is **independent** of the choice of  $\Phi$ , up to the **geometrically-induced** automorphisms of  $\Pi_i$  — i.e., the automorphisms arising from the automorphisms of the *RC*-orbifold  $X_i^*$  that preserve the quotient  $\pi_1(X_i^*)^{\wedge} \twoheadrightarrow \Pi_i$ .

*Proof.* A formal consequence of Theorem 1.12.  $\Box$ 

#### Section 2: Categories of Parallelograms, Rectangles, and Squares

In this Section, we show that the quasiconformal (respectively, conformal; conformal) structure of a connected hyperbolic RC-Riemann orbisurface of finite type may be functorially reconstructed from a certain category of parallelogram (respectively, rectangle; square) localizations. Although, just as was the case with the categories of §1, these categories of localizations are intended to be reminiscent of the categories of localizations of [Mzk11], §4, they differ from the categories of §1 in the following crucial way: They admit terminal objects [cf. the categories of [Mzk11], §4, which also, essentially, admit terminal objects, up to finitely many automorphisms, or, alternatively, the categories called temperoids of [Mzk11], §3].

#### Definition 2.1.

(i) We shall refer to a connected hyperbolic Riemann (respectively, RC-Riemann) orbisurface as a *punctured torus* (respectively, *punctured RC-torus*) if it (respectively, each connected component of its complexification) arises as the complement of a finite, nonempty subset of a one-dimensional complex torus [i.e., the Riemann surface associated to an elliptic curve over  $\mathbb{C}$ ]. If this finite subset is a translate of a subgroup of the complex torus (respectively, is of cardinality one), then we shall refer to the punctured torus (respectively, punctured RC-torus) as being *of torsion type* (respectively, *once-punctured*).

(ii) Let  $\overline{Y}$  be a compact connected Riemann orbisurface;  $Y \subseteq \overline{Y}$  the Riemann orbisurface of finite type obtained by removing some finite set S of points from  $\overline{Y}$ . [Thus, by Lemma 1.3, (iii),  $\overline{Y}$  is completely determined by Y.] Then we shall refer to as a *logarithmic square differential* on Y a section  $\phi$  over Y of the line bundle  $\omega_Y^{\otimes 2}$  [where  $\omega_Y$  is the holomorphic line bundle of differentials on Y] which extends to a section over  $\overline{Y}$  of the line bundle  $\omega_{\overline{Y}}^{\otimes 2}(S)$  [where  $\omega_{\overline{Y}}$  is the holomorphic line bundle of differentials on  $\overline{Y}$ ; we use the notation S to denote the reduced effective divisor on  $\overline{Y}$  determined by the set S]. The *noncritical locus* 

$$Y_{\rm non} \subseteq Y$$

of a logarithmic square differential  $\phi$  on Y is defined to be the Riemann orbisurface of points at which  $\phi \neq 0$ ; the *universalization* of a logarithmic square differential  $\phi$ on Y is defined to be the universal covering  $\underline{Y}_{non} \rightarrow Y_{non}$  of the noncritical locus  $Y_{non}$  of  $\phi$ . As is well-known [cf., e.g., [Lehto], Chapter IV, §6.1], if  $\phi \neq 0$  [i.e.,  $\phi$  is not identically zero], then the *path integral of the square root of*  $\phi$  over  $\underline{Y}_{non}$ 

$$\int \sqrt{\phi}$$

determines a "natural parameter"

$$z_{\phi}: \underline{Y}_{\mathrm{non}} \to \mathbb{C}$$

on  $\underline{Y}_{non}$ , which is independent of the choice of square root and the choice of a basepoint for the integral, up to multiplication by  $\pm 1$  and addition of a constant. In particular, it makes sense to define a  $\phi$ -parallelogram (respectively,  $\phi$ -rectangle;  $\phi$ -square) of  $\underline{Y}_{non}$  to be an open subset or  $\underline{Y}_{non}$  [or, by abuse of terminology, the associated Riemann surface] that maps bijectively via  $z_{\phi}$  onto a parallelogram (respectively, rectangle; square) of  $\mathbb{C}$ , in the sense of Definition A.3, (i), (ii), of the Appendix. We shall refer to a  $\phi$ -parallelogram as pre-compact if it is contained in a compact subset of  $\underline{Y}_{non}$ .

(iii) A logarithmic square differential  $\phi^*$  on a connected RC-Riemann orbisurface of finite type  $X^*$  is defined to be a logarithmic square differential  $\phi$  on [each connected component of] the complexification of  $X^*$  which is preserved by the antiholomorphic involution of  $X^*$ . Given a logarithmic square differential  $\phi^*$  on  $X^*$ , the noncritical locus (respectively, universalization; natural parameters [whenever  $\phi \neq 0$ ]) associated to the corresponding logarithmic square differential on the complexification of  $X^*$  thus determine a noncritical locus  $X^*_{non} \subseteq X^*$  (respectively, universalization  $\underline{X}^*_{non} \to X^*_{non}$ ; natural parameters  $z_{\phi^*}$  :  $\underline{X}^*_{non}[\mathbb{C}] \to \mathbb{C}$ ) associated to  $\phi^*$ . Here, any two natural parameters  $z_{\phi^*}$ ,  $z'_{\phi^*}$  are related to one another as follows:  $z'_{\phi^*}$  is equal to either  $\pm z_{\phi^*} + \lambda$ , for some  $\lambda \in \mathbb{C}$ , or the complex conjugate of this expression. In particular, we obtain a notion of  $\phi^*$ -parallelograms (respectively,  $\phi^*$ -rectangles;  $\phi^*$ -squares; pre-compact  $\phi^*$ -parallelograms) associated to  $\phi^*$ [all of which are to be regarded as RC-Riemann surfaces over  $\underline{X}^*_{non}$ ].

(iv) Let Y, Z be Riemann orbisurfaces of finite type. If Y, Z are connected, then we shall refer to a map  $Y \to Z$  as anti-quasiconformal (respectively, anti-Teichmüller) if it is quasiconformal (respectively, a Teichmüller mapping — cf. Remark 2.1.1 below) with respect to the holomorphic structure on Y given by the holomorphic functions and the holomorphic structure on Z given by the antiholomorphic functions. If Y, Z are not necessarily connected, then we shall refer to a map  $Y \to Z$  as *RC*-quasiconformal (respectively, *RC*-Teichmüller) if its restriction to each connected component of Y determines a map to some connected component of Z that is either quasiconformal or anti-quasiconformal (respectively, either a Teichmüller mapping or an anti-Teichmüller mapping).

(v) Let  $Y^* = (Y, \iota_Y), Z^* = (Z, \iota_Z)$  be connected RC-Riemann orbisurfaces of finite type. Then we shall refer to as an *RC-quasiconformal morphism* (respectively, *RC-Teichmüller morphism*)  $Y^* \to Z^*$  an *equivalence class* of RC-quasiconformal (respectively, RC-Teichmüller) maps  $Y \to Z$  compatible with  $\iota_Y, \iota_Z$ , where we consider two such maps *equivalent* if they differ by composition with  $\iota_Y$  [or, equivalently,  $\iota_Z$ ]. If  $\Pi_Y, \Pi_Z$  are *tempered topological groups*, and

$$\pi_1(Y^*) \to \Pi_Y; \quad \pi_1(Z^*) \to \Pi_Z$$

are dense [cf. §0] morphisms of tempered [cf. §0] topological groups [i.e., we think of  $\pi_1(Y^*)$ ,  $\pi_1(Z^*)$  as being equipped with the discrete topology, so  $\pi_1(Y^*)$ ,  $\pi_1(Z^*)$ are tempered topological groups], then we shall say that an RC-quasiconformal morphism  $Y^* \to Z^*$  is  $(\Pi_Y, \Pi_Z)$ -compatible if there exists a [necessarily unique, by the "dense-ness" assumption] isomorphism  $\Pi_Y \xrightarrow{\sim} \Pi_Z$  that is compatible [in the evident sense] with the outer isomorphism  $\pi_1(Y^*) \xrightarrow{\sim} \pi_1(Z^*)$  induced by the RC-quasiconformal morphism  $Y^* \to Z^*$ .

(vi) A Teichmüller pair  $(X, \phi)$  (respectively, *RC-Teichmüller pair*  $(X^*, \phi^*)$ ) is defined to be a pair consisting of a connected hyperbolic Riemann (respectively, RC-Riemann) orbisurface of finite type X (respectively,  $X^*$ ) and a non-identically zero logarithmic square differential  $\phi$  (respectively,  $\phi^*$ ) on X (respectively,  $X^*$ ).

**Remark 2.1.1.** We refer to [Lehto], Chapter V, §7, §8, for more on the theory of *Teichmüller mappings* between Riemann orbisurfaces of finite type. Note that although the theory of Teichmüller mappings is typically only developed for *compact Riemann surfaces*, it extends immediately to the case of an arbitrary Riemann orbisurface of finite type Y by passing to an appropriate *Galois finite étale covering*  $Z \to Y$  which extends to a ramified covering of compact Riemann orbisurfaces  $\overline{Z} \to \overline{Y}$ , where  $\overline{Z}$  is a Riemann surface, and  $\overline{Z} \to \overline{Y}$  is *ramified* at every point of  $\overline{Z} \setminus Z$ . [Indeed, the ramification condition implies that a logarithmic square differential on Y pulls back to a logarithmic square differential on Z which extends to a square differential *without* poles on  $\overline{Z}$ .]

**Remark 2.1.2.** Let  $\Phi : Y^* \to Z^*$  be an *RC-quasiconformal morphism* (respectively, *RC-Teichmüller morphism*), as in Definition 2.1, (v) [so  $Y^*$ ,  $Z^*$  are *connected*]. Then [cf. Remark 1.1.4] there exists a *unique quasiconformal map* (respectively, *Teichmüller mapping*)  $\phi : Y \to Z$  lying in the equivalence class that constitutes  $\Phi$ .

**Remark 2.1.3.** One important example of an *RC-Teichmüller pair* 

 $(X^*, \phi^*)$ 

is the case where  $X^*$  admits a finite étale covering  $Y^* \to X^*$  such that  $Y^*$  is a punctured RC-torus of complex type, and the square differential  $\phi^*|_{Y^*}$  extends to a square differential on the canonical compactification [cf. Remark 1.3.2] of  $Y^*$ . Note that in this case,  $\phi^*$  is completely determined, up to a nonzero constant multiple. In the following, we shall refer to such a pair as toral. Note that if  $Z^* \to X^*$  is also a finite étale covering of  $X^*$  by a punctured RC-torus of complex type  $Z^*$  such that  $\phi^*|_{Z^*}$  extends to a square differential on the canonical compactification of  $Z^*$  — in which case we shall say that  $Z^* \to X^*$  is toralizing — then one verifies immediately [by considering the natural parameters associated to  $\phi^*$ ] that there exists a toralizing finite étale covering  $W^* \to X^*$  that dominates the coverings  $Y^* \to X^*$ ,  $Z^* \to X^*$ . In particular, it follows that there exists a unique [up to not necessarily unique isomorphism] "minimal toralizing finite étale covering"  $Y^*_{\min} \to X^*$  [i.e., such that every other toralizing finite étale covering  $Y^* \to X^*$  factors through  $Y^*_{\min} \to X^*$ ].

Let  $(X^* = (X, \iota_X), \phi^*)$  be an *RC-Teichmüller pair*. Suppose that we have been given a *tempered topological group*  $\Pi$  and a *dense morphism* 

$$\pi_1(X^*) \to \Pi$$

of tempered topological groups. Thus, for every open subgroup  $H \subseteq \Pi$ , the induced morphism  $\pi_1(X^*) \to \Pi/H$  is surjective. Let us refer to a connected covering of  $X^*$ as being a  $\Pi$ -covering if it appears as a subcovering of the covering determined by such a quotient  $\pi_1(X^*) \to \Pi/H$ . In the following, we shall also make the following two assumptions on  $\Pi$ :

- (1) " $\Pi$  is totally ramified at infinity" in the sense that there exist Galois finite  $\Pi$ -coverings of  $X^*$  which are ramified over every point of the canonical compactification [cf. Remark 1.3.2]  $\overline{X}^* \supseteq X^*$  which is not contained in  $X^*$ .
- (2) " $\Pi$  is stack-resolving" in the sense that there exist Galois finite  $\Pi$ -coverings of  $X^*$  which are of complex type and whose "stack structure" is trivial.

Now we define the category of parallelogram ( $\Pi$ -)localizations of ( $X^*, \phi^*$ )

$$\mathfrak{Loc}^{\mathfrak{P}}_{\Pi}(X^*,\phi^*)$$

as follows: The *objects* 

 $Z^*$ 

of this category are the RC-Riemann orbisurfaces which are *either* pre-compact  $\phi^*$ -parallelograms of the universalization  $\underline{X}^*_{non}$  or RC-Riemann orbisurfaces that appear as connected [but not necessarily finite] II-coverings of  $X^*$ . Objects of the former type will be referred to as *parallelogram objects*; objects of the latter type will be referred to as *complete objects*. A parallelogram object defined by a  $\phi^*$ -rectangle (respectively,  $\phi^*$ -square) will be referred to as a *rectangle object* (respectively, *square object*). A complete object that arises from a finite covering of  $X^*$  will be referred to as a *finite object*. The *morphisms* 

$$Z_1^* \to Z_2^*$$

of this category are arbitrary étale morphisms of RC-orbifolds over  $X^*$  which, moreover, satisfy the property that if  $Z_1^*$  is a parallelogram object, then either the given arrow  $Z_1^* \to Z_2^*$  is an isomorphism of RC-orbifolds or the given arrow  $Z_1^* \to Z_2^*$  has pre-compact image [i.e., the image of  $Z_1^*[\mathbb{C}]$  lies inside a compact subset of  $Z_2^*[\mathbb{C}]$ ].

Similarly, we define the category of rectangle ( $\Pi$ -)localizations of ( $X^*, \phi^*$ )

$$\mathfrak{Loc}^{\mathfrak{R}}_{\Pi}(X^*,\phi^*)$$

to be the full subcategory of  $\mathfrak{Loc}_{\Pi}^{\mathfrak{P}}(X^*, \phi^*)$  determined by the objects which are *ei*ther complete objects or rectangle objects, and the category of square ( $\Pi$ -)localizations of  $(X^*, \phi^*)$ 

$$\mathfrak{Loc}_{\Pi}^{\mathfrak{S}}(X^*,\phi^*)$$

to be the full subcategory of  $\mathfrak{Loc}_{\Pi}^{\mathfrak{P}}(X^*, \phi^*)$  determined by the objects which are *either* complete objects *or* square objects.

Observe that when  $X^*$  is of complex type, and we think of the objects  $Z^* \to X^*$ of  $\mathfrak{Loc}^{\mathfrak{P}}_{\Pi}(X^*, \phi^*)$  as being endowed with the "holomorphic structure" determined by a connected component  $X_0 \subseteq X$ , then all of the morphisms  $Z_1^* \to Z_2^*$  of  $\mathfrak{Loc}^{\mathfrak{P}}_{\Pi}(X^*, \phi^*)$  induce holomorphic morphisms between the connected components of the complexifications of  $Z_1^*$ ,  $Z_2^*$  lying over  $X_0$  [cf. Remark 1.1.4]. Put another way, in this case, the category  $\mathfrak{Loc}^{\mathfrak{P}}_{\Pi}(X^*, \phi^*)$  may be thought of as the image via the fully faithful functor  $\mathfrak{R}$  of Proposition 1.2 of a certain category of holomorphic morphisms between Riemann orbisurfaces. A similar statement holds for  $\mathfrak{Loc}^{\mathfrak{R}}_{\Pi}(X^*, \phi^*)$ ,  $\mathfrak{Loc}^{\mathfrak{S}}_{\Pi}(X^*, \phi^*)$ .

**Proposition 2.2.** (Basic Categorical Properties) Let  $\Box$  be either " $\mathfrak{P}$ ", " $\mathfrak{R}$ ", or " $\mathfrak{S}$ ". Then:

(i) The result of applying " $\top$ " to the full subcategory of  $\mathfrak{Loc}_{\Pi}^{\Box}(X^*, \phi^*)$  determined by the **complete** objects is a **connected temperoid** [cf. [Mzk11], Definition 3.1, (ii)], with tempered fundamental group isomorphic to  $\Pi$ . In particular, it makes sense to speak of complete objects as being **Galois** [cf. [Mzk11], Definition 3.1, (iv)].

(ii) The codomain of any arrow of  $\mathfrak{Loc}_{\Pi}^{\Box}(X^*, \phi^*)$  with complete domain is also complete.

(iii) An object  $Z^*$  of  $\mathfrak{Loc}_{\Pi}^{\square}(X^*, \phi^*)$  is complete if and only if every monomorphism  $Z^* \to W^*$  [in  $\mathfrak{Loc}_{\Pi}^{\square}(X^*, \phi^*)$ ] is an isomorphism.

(iv) The object of  $\mathfrak{Loc}_{\Pi}^{\square}(X^*, \phi^*)$  determined by  $X^*$  is a terminal object of the category  $\mathfrak{Loc}_{\Pi}^{\square}(X^*, \phi^*)$ .

(v) The category  $\mathfrak{Loc}_{\Pi}^{\Box}(X^*, \phi^*)$  is a totally epimorphic category of quasiconnected objects [cf. §0].

(vi) The automorphism group  $\operatorname{Aut}(Z^*)$  of a complete object  $Z^*$  of  $\mathfrak{Loc}_{\Pi}^{\Box}(X^*, \phi^*)$  is isomorphic to a subquotient of a group of the form  $\Pi/H$ , where  $H \subseteq \Pi$  is an open subgroup.

(vii) If  $Z^*$  is a **parallelogram** object of  $\mathfrak{Loc}_{\Pi}^{\Box}(X^*, \phi^*)$ , then every **endomorphism** of  $Z^*$  [in  $\mathfrak{Loc}_{\Pi}^{\Box}(X^*, \phi^*)$ ] is an **automorphism**, and, moreover, the automorphism group  $\operatorname{Aut}(Z^*)$  [of  $Z^*$  as a object of  $\mathfrak{Loc}_{\Pi}^{\Box}(X^*, \phi^*)$ ] is finite.

(viii) Every morphism  $Z_1^* \to Z_2^*$  between **parallelogram** objects of  $\mathfrak{Loc}_{\Pi}^{\Box}(X^*, \phi^*)$  is a **monomorphism**.

(ix) Every monomorphism  $Z_1^* \to Z_2^*$  of  $\mathfrak{Loc}_{\Pi}^{\square}(X^*, \phi^*)$  which is not an isomorphism factors as a composite  $Z_1^* \to Z_3^* \to Z_2^*$  of non-isomorphisms  $Z_1^* \to Z_3^*$ ,  $Z_3^* \to Z_2^*$ , where  $Z_1^*, Z_3^*$  are parallelogram objects.

*Proof.* Assertions (i), (iv), (v), (vi) are immediate from the definitions [cf. also the proof of Lemma 1.6, (iii), in the case of assertion (v)]. To prove assertion

(ii), let  $Z^* \to Y^*$  be an arrow such that  $Z^*$  is complete, but  $Y^*$  is not complete. Thus,  $Y^*$  is a parallelogram object, and the morphism  $Z^* \to Y^*$  is over  $X^*$ , hence over  $X^*_{non}$ . In particular, we conclude that  $X^*_{non} = X^*$ . Note, moreover, that  $Z^* \to X^*$  is a covering morphism which [outside of the category  $\mathfrak{Loc}_{\Pi}^{\Box}(X^*, \phi^*)$ ] is a subcovering of the covering  $\underline{X}^*_{non} \to X^*$ . In particular, if we base-change over  $X^*$  by  $\underline{X}^*_{non} \to X^*$ , we obtain [since  $Y^*$  is simply connected] a morphism  $\underline{X}^*_{non} \to Y^*$  over  $\underline{X}^*_{non}$ , which is absurd [since, for instance,  $Y^*[\mathbb{C}]$ , unlike  $\underline{X}^*_{non}[\mathbb{C}]$ , has pre-compact image in  $\underline{X}^*_{non}[\mathbb{C}]$ ]. In light of assertion (ii), assertion (iii) is immediate from our pre-compactness assumption in the definition of the morphisms of  $\mathfrak{Loc}_{\Pi}^{\Box}(X^*, \phi^*)$ with parallelogram domain [together with the observation that morphisms between complete objects are always covering morphisms, hence are monomorphisms if and only if they are isomorphisms].

Next, we consider endomorphisms of parallelogram objects, i.e., assertion (vii). First, let us observe that pulling back the standard volume form on  $\mathbb{C}$  via a natural parameter yields a volume form  $\mu_{\underline{X}_{non}}$  on  $\underline{X}_{non}^*[\mathbb{C}]$  that is compatible with the affine linear structure on  $\underline{X}_{non}^*[\mathbb{C}]$  determined by the natural parameters, and, moreover, is held fixed by  $\operatorname{Gal}(\underline{X}_{non}^*/X_{non}^*)$  [since automorphisms of  $\operatorname{Gal}(\underline{X}_{non}^*/X_{non}^*)$  fix  $\phi^*$ , hence map natural parameters associated to  $\phi^*$  to natural parameters associated to  $\phi^*$ ]. In particular, since all morphisms of  $\mathfrak{Loc}_{\Pi}^{\square}(X^*, \phi^*)$  are over  $X^*$ , it follows that  $\mu_{\underline{X}_{non}}$  (respectively, the affine linear structure on  $\underline{X}_{non}^*[\mathbb{C}]$ ) determines a volume form  $\mu_Z$  (respectively, affine linear structure) on  $Z^*[\mathbb{C}]$  that is compatible with all endomorphisms of  $Z^*$ . Thus, the fact that every endomorphism of  $Z^*$  is an automorphism follows immediately from the [easily verified, elementary] fact that every volume-preserving, affine linear automorphism of  $\mathbb{C}$  that maps a parallelogram of  $\underline{X}_{non}$  into itself necessarily induces a bijection of this parallelogram onto itself. Moreover, it is immediate [for instance, by considering the induced bijections of edges and vertices of the closure of the parallelogram] that the group of affine linear automorphisms of this parallelogram that arise in this fashion is finite.

Next, we consider assertion (viii). First, observe that any two morphisms  $Z_i^* \to \underline{X}_{non}^*$  [where i = 1, 2] that arise from lifting morphisms  $Z_i^* \to X^*$  of  $\mathfrak{Loc}_{\Pi}^{\square}(X^*, \phi^*)$  differ by composition with an element of  $\operatorname{Gal}(\underline{X}_{non}^*/X_{non}^*)$ , and that it is immediate from the definitions that there *exist* such morphisms  $Z_i^* \to \underline{X}_{non}^*$  which are *open immersions*. In particular, it follows that *every morphism*  $Z_i^* \to \underline{X}_{non}^*$  that arises from lifting a morphism  $Z_i^* \to X^*$  of  $\mathfrak{Loc}_{\Pi}^{\square}(X^*, \phi^*)$ , hence, in particular, every composite  $Z_1^* \to Z_2^* \to \underline{X}_{non}^*$  of such a lifted morphism  $Z_2^* \to \underline{X}_{non}^*$  with an arbitrary morphism  $Z_1^* \to Z_2^*$  of  $\mathfrak{Loc}_{\Pi}^{\square}(X^*, \phi^*)$  is an *open immersion*. Thus, it follows immediately that any morphism  $Z_1^* \to Z_2^*$  is a monomorphism, as desired.

Finally, we consider assertion (ix). First, we recall that it is immediate from the definition of a "connected temperoid" [cf. [Mzk11], Definition 3.1, (ii)] that any monomorphism between connected objects of a connected temperoid is, in fact, an *isomorphism*. Thus, it follows from assertion (i) that  $Z_1^*$  is a *parallelogram* object. If  $Z_2^*$  is also a *parallelogram* object, then it follows immediately from our *precompactness* assumption in the definition of the morphisms of  $\mathfrak{Loc}_{\Pi}^{\Box}(X^*, \phi^*)$  with *parallelogram domain* that  $Z_1^* \to Z_2^*$  admits a factorization of the desired type. If, on the other hand,  $Z_2^*$  is *complete*, then [as discussed above], the morphism  $Z_1^* \to Z_2^*$  factors as a composite  $Z_1^* \to \underline{X}_{non}^* \to Z_2^*$ . Now since the image of the morphism  $Z_1^* \to \underline{X}_{non}^*$  is [by the definition of the "parallelogram objects" of  $\mathfrak{Loc}_{\Pi}^{\square}(X^*, \phi^*)$ ] pre-compact, it follows immediately that the morphism  $Z_1^* \to \underline{X}_{non}^*$ factors as a composite  $Z_1^* \to Z_3^* \to \underline{X}_{non}^*$ , where  $Z_1^* \to Z_3^*$  is a non-isomorphism of  $\mathfrak{Loc}_{\Pi}^{\square}(X^*, \phi^*)$  between parallelogram objects, and  $Z_3^* \to \underline{X}_{non}^*$  is an open immersion. Thus, by composing the arrow  $Z_3^* \to \underline{X}_{non}^*$  with the arrow  $\underline{X}_{non}^* \to Z_2^*$ , we obtain a factorization  $Z_1^* \to Z_3^* \to Z_2^*$  of the desired type. This completes the proof of assertion (ix).  $\square$ 

Theorem 2.3. (Categorical Reconstruction of the Quasiconformal or Conformal Structure of an RC-Teichmüller pair) For i = 1, 2, let  $(X_i^*, \phi_i^*)$ be an RC-Teichmüller pair;  $\Pi_i$  a tempered topological group;

$$\pi_1(X_i^*) \to \Pi_i$$

a dense [cf. §0] morphism of tempered [cf. §0] topological groups such that  $\Pi_i$  is "totally ramified at infinity" and "stack-resolving" [cf. the above discussion]. Then:

(i) The categories  $\mathfrak{Loc}^{\mathfrak{P}}_{\Pi_i}(X_i^*,\phi_i^*)$ ,  $\mathfrak{Loc}^{\mathfrak{R}}_{\Pi_i}(X_i^*,\phi_i^*)$ ,  $\mathfrak{Loc}^{\mathfrak{S}}_{\Pi_i}(X_i^*,\phi_i^*)$  are slim [cf. §0].

*(ii)* There is a natural bijection between isomorphism classes of equivalences of categories

$$\Phi: \mathfrak{Loc}^{\mathfrak{P}}_{\Pi_1}(X_1^*,\phi_1^*) \xrightarrow{\sim} \mathfrak{Loc}^{\mathfrak{P}}_{\Pi_2}(X_2^*,\phi_2^*)$$

and  $(\Pi_1, \Pi_2)$ -compatible RC-Teich-müller morphisms

$$X_1^* \xrightarrow{\sim} X_2^*$$

that "map"  $\phi_1^*$  to a nonzero complex multiple of  $\phi_2^*$  [i.e.,  $\phi_1^*$  (respectively, some nonzero complex multiple of  $\phi_2^*$ ) is the "initial" (respectively, "terminal") differential of the RC-Teichmüller morphism — cf., e.g., [Lehto], Chapter V, Theorem 8.1]. Moreover, this bijection is obtained by considering the equivalence of categories naturally induced by such an RC-Teichmüller morphism  $X_1^* \xrightarrow{\sim} X_2^*$ .

*(iii)* There is a natural bijection between isomorphism classes of equivalences of categories

$$\Phi: \mathfrak{Loc}^{\mathfrak{R}}_{\Pi_1}(X_1^*, \phi_1^*) \xrightarrow{\sim} \mathfrak{Loc}^{\mathfrak{R}}_{\Pi_2}(X_2^*, \phi_2^*)$$

and  $(\Pi_1, \Pi_2)$ -compatible isomorphisms of RC-orbisurfaces

$$X_1^* \xrightarrow{\sim} X_2^*$$

that map  $\phi_1^*$  to a nonzero complex multiple of  $\phi_2^*$ . Moreover, this bijection is obtained by considering the equivalence of categories naturally induced by such an isomorphism of RC-orbisurfaces  $X_1^* \xrightarrow{\sim} X_2^*$ . A similar statement holds when " $\mathfrak{Loc}^{\mathfrak{R}}$ " is replaced by " $\mathfrak{Loc}^{\mathfrak{S}}$ ". Proof. First, let us observe that it is immediate from the definitions that an isomorphism  $X_1^* \to X_2^*$  of the type stated in assertions (ii), (iii), induces an equivalence of categories between the respective categories " $\mathfrak{Loc}^{\Box}$ " [where  $\Box$  is " $\mathfrak{P}$ ", " $\mathfrak{R}$ ", or " $\mathfrak{S}$ "]. [In the case of RC-Teichmüller morphisms, this follows immediately from the manifestly affine linear *explicit local form of a Teichmüller mapping* — cf., e.g., [Lehto], Chapter V, Theorem 8.1.] In particular, we note that the definition of each of these categories is unaffected by multiplying  $\phi^*$  by a nonzero complex number.

Next, let us suppose that we have been given an equivalence  $\Phi$  between the respective categories " $\mathfrak{Loc}^{\square}$ ". Write  $\mathcal{C} \stackrel{\text{def}}{=} \mathfrak{Loc}_{\Pi_i}^{\square}(X_i^*, \phi_i^*)$ . Let us refer to an ordered set which is isomorphic, as an ordered set, to the set of natural numbers [equipped with its usual ordering] as a naturally ordered set. If  $W^* \in \operatorname{Ob}(\mathcal{C})$ , then let us refer to as a *P*-system [i.e., a "system of parallelograms"] over  $W^*$  a projective system  $\mathcal{Z} = \{Z_j^*\}_{j \in J}$ 

$$\ldots \to Z_{j'}^* \to \ldots \to Z_j^* \to \ldots$$

in  $\mathcal{C}_{W^*}$ , indexed by a naturally ordered set J, such that: (a) each object  $Z_j^* \to W^*$ of this system is an arrow of  $\mathcal{C}$  whose domain  $Z_j^*$  is a *parallelogram*; (b) no arrow  $Z_{j'}^* \to Z_j^*$  is an isomorphism. Recall from Proposition 2.2, (viii), that every arrow  $Z_{j'}^* \to Z_j^*$  is a *monomorphism*. There is an evident notion of *morphisms between* P-systems over  $W^*$  [i.e., morphisms of projective systems]. We shall call a Psystem  $\mathcal{Z}$  over  $W^*$  minimal if every morphism of P-systems [over  $W^*$ ]  $\mathcal{Z}' \to \mathcal{Z}$  is an isomorphism.

Let  $\mathcal{Z} = \{Z_j^*\}_{j \in J}$  be a *P*-system over  $W^*$ . Then it follows from our precompactness assumption in the definition of the morphisms of " $\mathfrak{Loc}^{\square}$ " with parallelogram domain that if we denote the closure of the subset

$$Z_j \stackrel{\mathrm{def}}{=} \mathrm{Im}(Z_j^*[\mathbb{C}]) \subseteq W \stackrel{\mathrm{def}}{=} W^*[\mathbb{C}]$$

by  $K_j \subseteq W$ , then  $K_j$  is *compact*; moreover, we have an equality

$$oldsymbol{Z}_{\infty} \stackrel{ ext{def}}{=} igcap_{j \in J} oldsymbol{Z}_{j} = igcap_{j \in J} oldsymbol{K}_{j} \subseteq oldsymbol{W}$$

of subsets of W. Now suppose that for each  $j \in J$ ,  $z_j \in Z_j$ ; let  $z \in W$  be a *cluster* point of the set  $\{z_j\}_{j\in J}$  [i.e., some subsequence of the sequence constituted by the  $z_j$  converges to z]. [Note that since the  $K_j$  are *compact*, such a cluster point always exists.] Then I claim that  $z \in Z_{\infty}$ . Indeed, we may assume [by replacing J by a cofinal subset of J] that  $z_j \to z$ . Then if we write  $A_j \stackrel{\text{def}}{=} \{z_{j'}\}_{j' \geq j} \bigcup \{z\}$ , then  $A_j \subseteq K_j$ , so

$$z\in igcap_{j\in J} \; oldsymbol{A}_j\subseteq igcap_{j\in J} \; oldsymbol{K}_j=oldsymbol{Z}_\infty$$

as desired. In particular, since the  $Z_j$  are nonempty, it follows that  $Z_{\infty}$  is nonempty.

Now I claim that  $\mathcal{Z} = \{Z_j^*\}_{j \in J}$  is minimal if and only if the cardinality  $|Z_{\infty}|$  of the set  $Z_{\infty}$  is equal to 1. Indeed, if  $|Z_{\infty}| > 1$ , then it is immediate that one can

construct a morphism of P-systems  $\mathcal{Z}' \to \mathcal{Z}$  such that  $\mathbf{Z}'_{\infty} \subsetneqq \mathbf{Z}_{\infty}$  [where  $\mathbf{Z}'_{\infty}$  is the analogue of " $\mathbf{Z}_{\infty}$ " for  $\mathcal{Z}'$ ], so  $\mathcal{Z}' \to \mathcal{Z}$  is *not* an isomorphism of P-systems. On the other hand, suppose that  $|\mathbf{Z}_{\infty}| = 1$ . Now since the topological space  $\mathbf{W}$  is clearly *metrizable*, let us assume that it is equipped with a *metric* d(-, -). Let

$$\mathcal{Z}' = \{Z_{j'}^*\}_{j' \in J'} \to \mathcal{Z} = \{Z_j^*\}_{j \in J}$$

be a morphism of P-systems over  $W^*$ . Thus,  $\mathbf{Z}'_{\infty} = \mathbf{Z}_{\infty}$ . Write  $\mathbf{Z}_{\infty} = \{z\}$ . Then observe that for every real  $\epsilon > 0$ , there exists a  $j_0 \in J$  such that for all  $j \ge j_0, \mathbf{Z}_j$ is contained in the set  $B(z, \epsilon) \stackrel{\text{def}}{=} \{w \in \mathbf{W} \mid d(z, w) < \epsilon\}$ . Indeed, if this were false, then it would follow that for every [sufficiently large, hence every]  $j \in J$ , there exist  $a_j, b_j \in \mathbf{Z}_j$  such that  $d(a_j, b_j) \ge \epsilon$ . Moreover, by choosing the  $a_j, b_j$  appropriately, we may assume that  $a_j \to a, b_j \to b$ , for some  $a, b \in \mathbf{W}$ . But by our discussion of cluster points in the preceding paragraph, it thus follows that a = b = z, hence that  $\epsilon \le d(a_j, b_j) \to d(a, b) = 0$ , which is absurd. Thus, we conclude that  $\mathbf{Z}_j \subseteq B(z, \epsilon)$ for sufficiently large  $j \in J$ . On the other hand, since, given a  $j' \in J'$ , there exists an  $\epsilon > 0$  such that  $B(z, \epsilon) \subseteq \mathbf{Z}'_{j'}$ , it thus follows immediately that  $\mathcal{Z}' \to \mathcal{Z}$  is an *isomorphism*, thus proving the asserted *minimality* of  $\mathcal{Z}$ .

Thus, in summary, we conclude that:

There is a natural bijective correspondence between the set  $W^*[\mathbb{C}]_{\text{non}}$ [where the subscript "non" denotes the open subset determined by the *noncricital locus*] and the set of isomorphism classes of minimal P-systems over  $W^*$ .

In particular, since, by Proposition 2.2, (iii),  $\Phi$  preserves parallelogram objects, we conclude that  $\Phi$  induces *natural bijections* 

$$W_1^*[\mathbb{C}]_{\mathrm{non}} \xrightarrow{\sim} W_2^*[\mathbb{C}]_{\mathrm{non}}$$

[where, for  $i = 1, 2, W_i^* \in Ob(\mathfrak{Loc}_{\Pi_i}^{\mathfrak{P}}(X_i^*, \phi_i^*)), \Phi(W_1^*) = W_2^*$ ] which are *functorial* in the  $W_i^*$ . Moreover, since the images of parallelograms in  $W_i^*[\mathbb{C}]$  clearly form a *basis* for the topology of  $W_i^*[\mathbb{C}]$ , we conclude [by considering collections of isomorphism classes of P-systems over  $W_i^*$  that *factor* through some given fixed parallelogram over  $W_i^*$ ] that these bijections are, in fact, *homeomorphisms*.

Note that these *functorial homeomorphisms* are already sufficient to conclude that the category C is *slim* [cf. the proof of slimness in Theorem 1.12 via Lemma 1.8]. This completes the proof of assertion (i).

Next, let us observe that it follows from our assumption that  $\Pi_i$  is "stackresolving" that there exist finite Galois [cf. Proposition 2.2, (i)]  $W_i^*$  such that  $\Phi(W_1^*) = W_2^*$ , and, moreover,  $W_i^*$  is of complex type, with trivial "stack structure". Thus, it follows, by applying Proposition A.4 [of the Appendix] to sufficiently small pre-compact parallelogram neighborhoods of  $W_i^*[\mathbb{C}]$ , that, in the case of assertion (ii) (respectively, (iii)), the functorial homeomorphism

$$W_1^*[\mathbb{C}]_{\mathrm{non}} \xrightarrow{\sim} W_2^*[\mathbb{C}]_{\mathrm{non}}$$

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constructed above is *locally affine linear* (respectively, *locally affine ortho-linear*). Now in the affine linear case, it follows from Proposition A.1 [of the Appendix], together with the explicit local form of a Teichmüller mapping [cf., e.g., [Lehto], Chapter V,  $\S7$  and  $\S8$ , especially Theorem 8.1] that there exists an *RC-Teichmüller* mapping with domain  $W_1^*[\mathbb{C}]_{non}$  and initial differential a nonzero complex multiple of the pull-back to  $W_1^*[\mathbb{C}]_{\text{non}}$  of  $\phi_1^*$  [which is well-defined up to possible confusion with its complex conjugate] such that the RC-holomorphic structure induced on this domain [via this RC-Teichmüller mapping] by the RC-holomorphic structure of the codomain coincides with the RC-holomorphic structure induced, via the above functorial homeomorphism, by the RC-holomorphic structure of  $W_2^*[\mathbb{C}]_{\text{non}}$ . In particular, this functorial homeomorphism factors as the composite of an RC-*Teichmüller mapping* which induces the identity map on the underlying real analytic manifolds with an isomorphism of RC-Riemann orbisurfaces. That is to say, the functorial homeomorphism considered above is an RC-Teichmüller mapping, as desired, hence extends naturally to the canonical compactifications [cf. Remark 1.3.2] of the  $W_i^*[\mathbb{C}]_{\text{non}}$ . Moreover, the functoriality of this homeomorphism [together with the fact that  $W_i^*$  is of complex type with trivial "stack structure"] allows one to descend the RC-Teichmüller mapping just obtained between the canonical compactifications of the  $W_i^*[\mathbb{C}]_{\text{non}}$  to an RC-Teichmüller mapping between the canonical compactifications of the  $X_i^*$ , hence [by our assumption that  $\Pi_i$  is totally ramified at infinity] to an RC-Teichmüller mapping

$$X_1^* \xrightarrow{\sim} X_2^*$$

thus completing the proof of assertion (ii). [Here, we note in passing that  $X_i^*$  is of complex type if and only if the automorphisms of  $W_i^*[\mathbb{C}]_{\text{non}}$  induced by elements of  $\text{Gal}(W_i^*/X_i^*)$  preserve some orientation of the affine linear structure.] The affine ortho-linear case follows similarly [but is somewhat easier, since it does not involve any Teichmüller theory!]. This completes the proof of assertion (ii).  $\Box$ 

**Corollary 2.4.** (The Type of a Finite Object) In the notation of Theorem 2.3, let

 $\Phi:\mathfrak{Loc}_{\Pi_1}^{\square}(X_1^*,\phi_1^*)\xrightarrow{\sim}\mathfrak{Loc}_{\Pi_2}^{\square}(X_2^*,\phi_2^*)$ 

[where  $\Box$  is " $\mathfrak{P}$ ", " $\mathfrak{R}$ ", or " $\mathfrak{S}$ "] be an equivalence of categories. Suppose further that, for  $i = 1, 2, W_i^*$  is a finite object of  $\mathfrak{Loc}_{\Pi_i}^{\Box}(X_i^*, \phi_i^*)$  such that  $\Phi(W_1^*) = W_2^*$ . Then:

(i)  $W_1^*$  is of complex type if and only if  $W_2^*$  is.

(ii) The "stack structure" of  $W_1^*$  is trivial if and only if the same is true of  $W_2^*$ .

(iii) Suppose that the "stack structure" of  $W_i^*$  is trivial, and that  $W_i^*$  is of complex type; write  $\overline{W}_i^*$  for the **canonical compactification** [cf. Remark 1.3.2] of  $W_i^*$ . Then the **genus** of  $\overline{W}_i^*$ , as well as the **cardinality** of the set  $\overline{W}_i^* \setminus W_i^*$  is independent of i. If, moreover, this genus is equal to 1, then the pair  $(W_1^*, \phi_1^*|_{W_i^*})$ 

is toral [cf. Remark 2.1.3] (respectively, and  $W_1^*$  is of torsion type) if and only if the same is true of  $(W_2^*, \phi_2^*|_{W_2^*})$  (respectively, and  $W_2^*$ ).

*Proof.* All of these assertions follow formally from Theorem 2.3, (ii), (iii). Here, we note that in the genus 1 case, "torality" is [easily verified to be] equivalent to the condition that the natural parameters [arising from the affine linear structure] extend to neighborhoods of the "points at infinity" of the canonical compactification. Once one has established "torality", the property of being of torsion type is completely determined by the affine linear structure determined by the natural parameters.  $\Box$ 

Next, we define a somewhat different type of category of localizations, namely, a category of finite étale localizations [cf. the categories "Loc(-)", " $\text{Loc}_k(-)$ " of [Mzk7], §2]

$$\operatorname{FELoc}(X^*, \phi^*)$$

associated to an RC-Teichmüller pair  $(X^*, \phi^*)$ . The *objects* of this category are RC-Teichmüller pairs  $(Y^*, \psi^*)$ , where  $Y^*$  admits a *finite étale* morphism [of RC-Riemann orbisurfaces]  $Y^* \to X^*$  such that  $\psi^*$  is the pull-back to  $Y^*$  of  $\phi^*$ . The *morphisms* 

$$(Y_1^*, \psi_1^*) \to (Y_2^*, \psi_2^*)$$

are finite étale morphisms [of RC-Riemann orbisurfaces]  $Y_1^* \to Y_2^*$  [which are not necessarily over  $X^*$ !] with respect to which  $\psi_2^*$  pulls back to  $\psi_1^*$ . Similarly, if  $X^*$  is of complex type, then one may define a similar category

$$\operatorname{FELoc}_{\mathbb{C}}(X^*, \phi^*)$$

by taking the *objects* to be the objects of  $\text{FELoc}(X^*, \phi^*)$  and the *morphisms* to be the "holomorphic" morphisms, i.e., the morphisms  $(Y_1^*, \psi_1^*) \to (Y_2^*, \psi_2^*)$  of  $\text{FELoc}(X^*, \phi^*)$  that induce *holomorphic* maps from each connected component of the complexification of  $Y_1^*$  lying over some fixed connected component  $X_0$  of the complexification of  $X^*$  to some connected component of the complexification of  $Y_2^*$ lying over  $X_0$ .

**Definition 2.5.** We shall refer to the RC-Teichmüller pair  $(X^*, \phi^*)$  as a *core* (respectively,  $\mathbb{C}$ -*core*) if  $X^*$  is of real or complex type (respectively, of complex type), and, moreover, the object of  $\text{FELoc}(X^*, \phi^*)$  (respectively,  $\text{FELoc}_{\mathbb{C}}(X^*, \phi^*)$ ) determined by  $(X^*, \phi^*)$  forms a *terminal object* of  $\text{FELoc}(X^*, \phi^*)$  (respectively,  $\text{FELoc}_{\mathbb{C}}(X^*, \phi^*)$ ) [cf. [Mzk7], Definition 2.1, (ii); [Mzk7], Remark 2.1.1].

**Corollary 2.6.** (Extension of Equivalences of Categories) In the notation of Theorem 2.3, suppose further that, for  $i = 3, 4, (X_i^*, \phi_i^*)$  is an **RC-Teichmüller** pair, and that, for i = 1, 2, 3, 4, the morphism  $\pi_1(X_i^*) \to \Pi_i$  is the identity morphism on  $\pi_1(X_i^*)$ . [Thus, it is immediate that  $\Pi_i$  is both "totally ramified at infinity" and "stack-resolving".] Moreover, for i = 1, 2, let us assume that we have been given an equivalence of categories

$$\Phi: \mathfrak{Loc}_{\Pi_1}^{\square}(X_1^*, \phi_1^*) \xrightarrow{\sim} \mathfrak{Loc}_{\Pi_2}^{\square}(X_2^*, \phi_2^*)$$

[where  $\Box$  is " $\mathfrak{P}$ ", " $\mathfrak{R}$ ", or " $\mathfrak{S}$ "], as well as a finite étale morphism of RC-Riemann orbisurfaces

$$(X_i^*, \phi_i^*) \to (X_{i+2}^*, \phi_{i+2}^*)$$

with respect to which  $\phi_{i+2}^*$  pulls back to  $\phi_i^*$ . Then:

(i) The morphism  $(X_i^*, \phi_i^*) \to (X_{i+2}^*, \phi_{i+2}^*)$  induces a natural equivalence of categories

$$\mathfrak{Loc}^{\square}(X_i^*,\phi_i^*) \xrightarrow{\sim} \mathfrak{Loc}^{\square}(X_{i+2}^*,\phi_{i+2}^*)_{(X_i^*,\phi_i^*)}$$

[where i = 1, 2;  $\Box$  is " $\mathfrak{P}$ ", " $\mathfrak{R}$ ", or " $\mathfrak{S}$ "; we omit the subscripted " $\Pi_i$ 's"]. In particular, we obtain a **natural functor** 

$$\mathfrak{Loc}^{\square}(X_i^*,\phi_i^*) \to \mathfrak{Loc}^{\square}(X_{i+2}^*,\phi_{i+2}^*)$$

[i.e., by composing the natural functor  $\mathfrak{Loc}^{\square}(X_{i+2}^*, \phi_{i+2}^*)_{(X_i^*, \phi_i^*)} \to \mathfrak{Loc}^{\square}(X_{i+2}^*, \phi_{i+2}^*)$ with the above equivalence].

(ii) Suppose that  $\Box$  is " $\mathfrak{R}$ " or " $\mathfrak{S}$ ", and that, for  $i = 1, 2, (X_{i+2}^*, \phi_{i+2}^*)$  is either a core or a  $\mathbb{C}$ -core. Then there exists a 1-commutative diagram

$$\begin{array}{rcl} \mathfrak{Loc}^{\square}(X_1^*,\phi_1^*) & \to & \mathfrak{Loc}^{\square}(X_{1+2}^*,\phi_{1+2}^*) \\ & & & \downarrow^{\Psi} \\ \mathfrak{Loc}^{\square}(X_2^*,\phi_2^*) & \to & \mathfrak{Loc}^{\square}(X_{2+2}^*,\phi_{2+2}^*) \end{array}$$

in which the vertical arrows are equivalences of categories; the horizontal arrows are the natural functors of (i);  $\Psi$  is uniquely determined, up to unique isomorphism, by the condition that the diagram 1-commute.

(iii) Suppose that " $\Box = \mathfrak{P}$ ", and that, for i = 1, 2, there exists a cartesian commutative diagram of finite étale morphisms of RC-orbifolds

$$\begin{array}{cccc} Y_i^* & \to & Y_{i+2}^* \\ \downarrow & & \downarrow \\ X_i^* & \to & X_{i+2}^* \end{array}$$

in which the lower horizontal arrow arises from the morphism  $(X_i^*, \phi_i^*) \to (X_{i+2}^*, \phi_{i+2}^*)$ given above;  $Y_i^*$ ,  $Y_{i+2}^*$  are punctured RC-tori of complex type;  $Y_{i+2}^*$  is oncepunctured [which implies that  $Y_i^*$  is of torsion type, and that  $(Y_i^*, \phi_i^*|_{Y_i^*})$ ,  $(Y_{i+2}^*, \phi_{i+2}^*|_{Y_{i+2}^*})$  are total]; the vertical arrows are "minimal" [in the sense of Remark 2.1.3]. Then there exists a 1-commutative diagram

$$\begin{array}{rcl} \mathfrak{Loc}^{\mathfrak{P}}(X_{1}^{*},\phi_{1}^{*}) & \to & \mathfrak{Loc}^{\mathfrak{P}}(X_{1+2}^{*},\phi_{1+2}^{*}) \\ & & & \downarrow^{\Phi} & & \downarrow^{\Psi} \\ \mathfrak{Loc}^{\mathfrak{P}}(X_{2}^{*},\phi_{2}^{*}) & \to & \mathfrak{Loc}^{\mathfrak{P}}(X_{2+2}^{*},\phi_{2+2}^{*}) \end{array}$$

in which the vertical arrows are equivalences of categories; the horizontal arrows are the natural functors of (i);  $\Psi$  is uniquely determined, up to unique isomorphism, by the condition that the diagram 1-commute.

Proof. Assertion (i) (respectively, (ii)) is immediate from the definitions (respectively, the definitions and Theorem 2.3, (iii)). Next, we consider assertion (iii). Now it follows from Remark 2.1.3; Corollary 2.4, (i), (ii), (iii); the minimality assumption on  $Y_i^* \to X_i^*$  [where i = 1, 2], that  $\Phi$  maps  $Y_1^*$  to an isomorph of  $Y_2^*$ . Now assertion (iii) follows by observing that the automorphism group of  $Y_i^*$  that determines the quotient  $Y_i^* \to Y_{i+2}^*$  [hence also the quotient  $Y_i^* \to X_{i+2}^*$ , since the first commutative diagram in the statement of Corollary 2.6, (iii), is cartesian] may be recovered category-theoretically within  $\mathfrak{Loc}^{\mathfrak{P}}(X_i^*, \phi_i^*)$ , by applying Theorem 2.3, (ii) [i.e., the fact that  $\Phi$  arises from a map that is compatible with the affine linear structures of the punctured RC-tori  $Y_i^*$ ], together with the fact that  $Y_1^*, Y_2^*$  are of torsion type. Finally, we note that the uniqueness assertions in assertions (ii), (iii) follow from the definitions, together with Theorem 2.3, (i).  $\Box$ 

**Remark 2.6.1.** The "extendability" property of Corollary 2.6, (ii), (iii), is intended to be reminiscent of the "extendability" result proven in [Mzk7], Corollary 2.5, (ii) [cf. also [Mzk8], Corollary 3.1.4, (iii); [Mzk9], Theorem 2.3; [Mzk11], Theorem 6.8, (ii)] by applying the *p*-adic version of the Grothendieck Conjecture, proven in [Mzk4].

#### Appendix: Quasiconformal Linear Algebra

In this Appendix, we review various well-known facts concerning the *geometry* and *linear algebra* of the euclidean plane that are relevant to the theory of quasiconformal maps.

Write

$$GL_2^{>0}(\mathbb{R}), \ GL_2^{<0}(\mathbb{R}) \subseteq GL_2(\mathbb{R})$$

for the subsets of matrices of *positive* and *negative* determinant, respectively. In the following discussion, we shall often *identify* the real vector space underlying the *complex number field*  $\mathbb{C}$  with  $\mathbb{R}^2$  via the bijection  $\mathbb{R}^2 \ni (a, b) \mapsto a + ib \in \mathbb{C}$ . This identification determines an immersion of topological groups

$$\mathbb{C}^{\times} \hookrightarrow GL_2^{>0}(\mathbb{R})$$

by mapping  $\mathbb{C}^{\times} \ni a + ib \mapsto \begin{pmatrix} a & -b \\ b & a \end{pmatrix}$ . In the following discussion, we shall often *identify*  $\mathbb{C}^{\times}$  with its image under this immersion and write " $\mathbb{C}^{\times} \subseteq GL_2^{>0}(\mathbb{R})$ ". The subgroup  $\mathbb{C}^{\times} \subseteq GL_2^{>0}(\mathbb{R})$  is normalized by the matrix

$$\tau \stackrel{\text{def}}{=} \begin{pmatrix} 0 & 1 \\ & \\ 1 & 0 \end{pmatrix}$$

conjugation by which induces *complex conjugation* on  $\mathbb{C}^{\times}$ .

If  $M \in GL_2(\mathbb{R})$ , then we shall write

$$f_M:\mathbb{C}\to\mathbb{C}$$

for the associated map from  $\mathbb{C}$  to itself. Also, we shall often think of  $GL_2(\mathbb{R})$ as acting on the *upper half-plane*  $\mathfrak{H}$  in the standard fashion, via *linear fractional* transformations, i.e., if z is the standard coordinate on  $\mathfrak{H}$ , then  $M = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in$  $GL_2(\mathbb{R})$  acts via the transformation

$$z \mapsto \frac{az+b}{cz+d}$$

if  $M \in GL_2^{>0}(\mathbb{R})$ , and via the transformation

$$z \mapsto \frac{a\overline{z} + b}{c\overline{z} + d}$$

if  $M \in GL_2^{<0}(\mathbb{R})$  [cf. [Mzk5], Example 3.2].

Now we have the following:

#### Proposition A.1. (The Dilatation of a Quasiconformal Map)

(i) The map

$$t \mapsto \begin{pmatrix} t & 0 \\ 0 & 1 \end{pmatrix}$$

[where  $t \in \mathbb{R}_{\geq 1} \stackrel{\text{def}}{=} \{s \in \mathbb{R} \mid s \geq 1\}$ ] determines a structure of "one-dimensional manifold with boundary" [i.e.,  $\{1\}$  is the boundary of  $\mathbb{R}_{>1}$ ] on the double coset space

$$\mathbb{C}^{\times} \backslash GL_2^{>0}(\mathbb{R}) / \mathbb{C}^{\times} \cong SO(2) \backslash SL_2(\mathbb{R}) / SO(2)$$

— where " $\cong$ " denotes the bijection induced by the natural inclusion  $SL_2(\mathbb{R}) \hookrightarrow GL_2^{>0}(\mathbb{R})$ .

(ii) The map

$$M \mapsto \operatorname{Dil}(M) \stackrel{\text{def}}{=} \left| \frac{\partial f_M / \partial \overline{z}}{\partial f_M / \partial z} \right|$$

determines an isomorphism of manifolds with boundary

$$\mathbb{C}^{\times} \backslash GL_2^{>0}(\mathbb{R}) / \mathbb{C}^{\times} \xrightarrow{\sim} [0,1)$$

which is given, relative to the bijection with  $\mathbb{R}_{>1}$  appearing in (i), by the map

$$t\mapsto \frac{t-1}{t+1}$$

[where  $t \in \mathbb{R}_{\geq 1}$ ]. Alternatively, if we apply the bijection of  $\mathfrak{H}$  with the **open unit disk** given by  $z \mapsto \frac{iz+1}{iz-1}$ , then the subset [0,1) of the open unit disk determines a parametrization of  $\mathbb{C}^{\times} \backslash GL_2^{>0}(\mathbb{R})/\mathbb{C}^{\times}$  relative to which the map  $M \mapsto \text{Dil}(M)$  is given by the **identity**.

Proof. First, we consider assertion (i). It is immediate from the definitions that the natural inclusion  $SL_2(\mathbb{R}) \hookrightarrow GL_2^{>0}(\mathbb{R})$  induces a homeomorphism of coset spaces  $\mathbb{C}^{\times} \backslash GL_2^{>0}(\mathbb{R})/\mathbb{C}^{\times} \cong SO(2) \backslash SL_2(\mathbb{R})/SO(2)$ . Moreover, if we apply the homeomorphism  $SL_2(\mathbb{R})/SO(2) \xrightarrow{\sim} \mathfrak{H}$  given by letting  $SL_2(\mathbb{R})$  act on the point  $i \in \mathfrak{H}$ , followed by the homeomorphism discussed in assertion (ii) of  $\mathfrak{H}$  with the open unit disk, then the parametrization of assertion (i) is clearly mapped onto the inverval [0, 1), which may be identified with the quotient of the unit disk by the action of the unit circle  $\mathbb{S}^1 \subseteq \mathbb{C}^{\times}$ . This completes the proof of assertion (i).

To verify assertion (ii), let us first observe that we may write  $f_M(z) = c_1 z + c_2 \overline{z}$ , where  $c_1 = a_1 + ib_1$ ,  $c_2 = a_2 + ib_2$ ;  $a_1, a_2, b_1, b_2 \in \mathbb{R}$ ;  $\text{Dil}(M) = |c_2|/|c_1|$ . This description of  $f_M$ , Dil(M) renders evident the fact that  $M \mapsto \text{Dil}(M)$  depends only on the image of M in  $\mathbb{C}^{\times} \setminus GL_2^{>0}(\mathbb{R})/\mathbb{C}^{\times}$ . Now applying Dil(-) to the parametrization of assertion (i) yields the function  $\frac{t-1}{t+1}$  [since 2(ta+ib) = (t+1)(a+ib)+(t-1)(a-ib)]. This completes the proof of assertion (ii).  $\Box$  **Proposition A.2.** (Dictionary between Function Theory and Linear Algebra) Let  $M \in GL_2(\mathbb{R})$ . Then:

(i) The subgroup  $\mathbb{C}^{\times} \subseteq GL_2(\mathbb{R})$  is equal to the set of matrices  $\in GL_2(\mathbb{R})$  that commute with the matrix determined by  $i \in \mathbb{C}^{\times}$ .

(ii) M lies in  $\mathbb{C}^{\times}$  (respectively,  $GL_2^{>0}(\mathbb{R})$ ;  $\mathbb{C}^{\times} \cdot \tau$ ;  $GL_2^{<0}(\mathbb{R})$ ) if and only if the map  $f_M$  is conformal (respectively, quasiconformal; anti-conformal; anti-quasiconformal).

**Remark A.2.1.** Here, we use the term "anti-conformal" (respectively, "antiquasiconformal") to refer to a map that is conformal (respectively, quasiconformal) with respect to the holomorphic structure on the domain given by the holomorphic functions and the holomorphic structure on the codomain given by the antiholomorphic functions.

*Proof.* Assertion (i) (respectively, (ii)) is an easy exercise (respectively, follows immediately from the definitions and assertion (i)).  $\Box$ 

# Definition A.3.

(i) We shall refer to any [necessarily nonempty] open subset of  $\mathbb{R}^2$  given by the interior of the convex hull of the points  $w, w + u, w + v, w + u + v \in \mathbb{R}^2$ , where  $u, v \in \mathbb{R}^2$  are a basis of  $\mathbb{R}^2$  and  $w \in \mathbb{R}^2$ , as a *parallelogram* [of  $\mathbb{R}^2$ ]. If  $S \subseteq \mathbb{R}^2$ is a subset, then we shall refer to a parallelogram  $P \subseteq S$  as *pre-compact* if it is contained in a compact subset of S [i.e., if the closure of P in  $\mathbb{R}^2$  is contained in S].

(ii) We shall refer to a parallelogram of  $\mathbb{R}^2$  as a *rectangle* if all of its angles are right angles [i.e., in the notation of (i), the vectors  $u, v \in \mathbb{R}^2$  are *orthogonal*]. We shall refer to a rectangle of  $\mathbb{R}^2$  as a *square* if all of its sides are of the same length [relative to the standard euclidean metric on  $\mathbb{R}^2$ ].

(iii) We shall refer to a map  $\mathbb{R}^2 \to \mathbb{R}^2$  as linear (respectively, ortho-linear; quasiconformal linear; conformal linear; anti-quasiconformal linear; anti-conformal linear) if it is equal to the map determined by an  $M \in GL_2(\mathbb{R})$ , where M is arbitrary (respectively,  $\in \mathbb{C}^{\times} \bigcup \mathbb{C}^{\times} \cdot \tau$ ;  $\in GL_2^{>0}(\mathbb{R})$ ;  $\in \mathbb{C}^{\times}$ ;  $\in GL_2^{<0}(\mathbb{R})$ ;  $\in \mathbb{C}^{\times} \cdot \tau$ ).

(iv) We shall refer to a map  $\mathbb{R}^2 \to \mathbb{R}^2$  as affine linear (respectively, affine ortholinear; affine quasiconformal linear; affine conformal linear; affine anti-quasiconformal linear; affine anti-conformal linear) if it may be written as the composite of a translation [i.e., the map  $\mathbb{R}^2 \to \mathbb{R}^2$  given by adding a fixed  $u_0 \in \mathbb{R}^2$ ] with a linear (respectively, ortho-linear; quasiconformal linear; conformal linear; antiquasiconformal linear; anti-conformal linear) map.

One way to show that some given homeomorphism of, say,  $\mathbb{R}^2$  to itself is *affine linear* [i.e., either affine quasiconformal linear or affine anti-quasiconformal linear]

or *affine ortho-linear* [i.e., either affine conformal linear or affine anti-conformal linear] is by applying the following result:

**Proposition A.4.** (Squares, Rectangles, and Parallelograms) Let  $B \subseteq \mathbb{R}^2$  be a connected open subset; let

$$h: B \to \mathbb{R}^2$$

be a map that determines a **homeomorphism** of B onto a parallelogram of  $\mathbb{R}^2$ . Then:

(i) Suppose that h maps pre-compact **parallelograms** in B to parallelograms in  $\mathbb{R}^2$ . Then h is [the restriction to B of a map  $\mathbb{R}^2 \to \mathbb{R}^2$  that is] **affine linear**.

(ii) Suppose that h maps pre-compact rectangles in B to rectangles in  $\mathbb{R}^2$ . Then h is [the restriction to B of a map  $\mathbb{R}^2 \to \mathbb{R}^2$  that is] affine ortho-linear.

(iii) Suppose that h maps pre-compact squares in B to squares in  $\mathbb{R}^2$ . Then h is [the restriction to B of a map  $\mathbb{R}^2 \to \mathbb{R}^2$  that is] affine ortho-linear.

*Proof.* First, we observe that, by considering squares with edges parallel to the coordinate axes contained in B and applying an appropriate affine ortho-linear map to B, we may assume without loss of generality that B itself is a square with edges parallel to the coordinate axes centered at the origin that contains the points (a, b), where  $a, b \in \mathbb{R}$ , |a| = |b| = 1.

Next, we consider assertion (i). Define an "edge-segment" of a pre-compact parallelogram  $P \subseteq B$  to be an infinite set of the form  $K \bigcap K'$ , where K is the closure of P; K' is the closure of another pre-compact parallelogram P'; and  $P \cap P' = \emptyset$ . Consider the equivalence relation on edge-segments of P generated by the preequivalence relation that two edge-segments  $E_1$ ,  $E_2$  are "pre-equivalent" if the intersection  $E_1 \cap E_2$  is *infinite*. Then observe that the *edges* of a pre-compact parallelogram  $P \subseteq B$  are in natural bijective correspondence with the equivalence classes of edge-segments of P, and that, under this bijective correspondence, an edge of P is given by the *union* of edge-segments that belong to the corresponding equivalence class of edge-segments. The vertices of P may then be recovered as the nonempty intersections of pairs of edges. Thus, the "affine linear structure" of B may be recovered by considering the *combinatorics of intersections among* the various edges of the pre-compact parallelograms of B [i.e., in the notation of Definition A.3, (i), this combinatorial data encodes precisely the information that "if one takes w as the origin, then the sum of the points w + u, w + v is equal to w + u + v"]. Since this description of the affine linear structure of B is preserved by h, we thus conclude that h is affine linear, as desired.

Next, we consider assertion (ii). By composing h with an appropriate affine ortho-linear map  $\mathbb{R}^2 \to \mathbb{R}^2$ , we may assume, without loss of generality, that hfixes the points (0,0) and (1,1). Next, let us observe that the ["rectangle-theoretic analogue" of the "parallelogram-theoretic"] topological description of vertices and SHINICHI MOCHIZUKI

edges given in the preceding paragraph [i.e., where "P", "P" are assumed to be rectangles] implies that *h* preserves line segments. Since, moreover, a square may be characterized as a rectangle P such that the line segments given by the diagonals of the rectangle are orthogonal [i.e., admit sub-line segments that appear as adjacent edges of some rectangle], we conclude that *h* preserves squares. Thus, to complete the proof of assertion (ii), it suffices to verify assertion (iii).

Finally, we consider assertion (iii). By composing h with an appropriate affine ortho-linear map  $\mathbb{R}^2 \to \mathbb{R}^2$ , we may assume, without loss of generality, that h fixes the points (0,0) and (1,1). Since [as one verifies immediately] there is precisely one square  $S \subseteq \mathbb{R}^2$  that has the points (0,0) as (1,1) as opposite vertices, one concludes from the topological description of vertices and edges given above that hpreserves this square S. Thus, by possibly composing h with a reflection about the diagonal of S [which is manifestly an affine ortho-linear map], we may assume that h induces the *identity* morphism on the set of edges of S. Moreover, the topological description of the vertices and edges applied above also implies that h maps line segments in B that are parallel to one of the two coordinate axes of  $\mathbb{R}^2$  [i.e., to one of the edges of S] to line segments in  $\mathbb{R}^2$  that are parallel to one of the two coordinate axes of  $\mathbb{R}^2$ . On the other hand, this last property implies [in light of the fact that h induces the *identity* morphism on the set of edges of S] that h may be written in the form

$$h((a,b)) = (f(a),g(b))$$

[where f, g are real-valued continuous functions on some open interval  $I \subseteq \mathbb{R}$  such that  $0 \in I$  and I is preserved by multiplication by -1]. Since, moreover, h preserves squares, it follows that f = g.

Next, let us observe that for  $a, b \in I$  such that  $a, b \neq 0$ ,  $ab \in I$ , the fact that h preserves line segments [cf. the argument applied in the discussion of assertion (ii)] implies that f(ab)/f(a) is *independent* of a, hence [since f(1) = 1] that  $f(ab) = f(a) \cdot f(b)$ . Since f(0) = 0, we thus conclude that for all  $a, b \in I$  such that  $ab \in I$ , we have  $f(ab) = f(a) \cdot f(b)$ . Thus, since  $\mathbb{R}^{\times}$  is a *real analytic Lie group*, we thus conclude [by *Cartan's theorem* — cf., e.g., [Serre], Chapter V, §9, Theorem 2] that there exists a *positive real*  $\alpha$  such that

$$f(x) = |x|^{\alpha} \cdot (x/|x|)$$

for all nonzero  $x \in I$ . On the other hand, since, for sufficiently small  $\epsilon > 0$ , the function

$$x \mapsto f(x+\epsilon) - f(\epsilon)$$

satisfies similar hypotheses to f, we conclude that this function may be written, at least for, say,  $x \in J \subseteq I$ , where J is some open inverval of positive real numbers, in the form  $x \mapsto c \cdot x^{\alpha'}$ , for some  $c, \alpha' > 0$ . That is to say, we obtain the relation

$$(x+\epsilon)^{\alpha} - \epsilon^{\alpha} = c \cdot x^{\alpha'}$$

[for  $x \in J$ ]. Thus, by, say, differentiating this relation with respect to x, taking the natural logarithm, and then differentiating again with respect to x, we obtain that

$$(\alpha - 1)x = (\alpha' - 1)(x + \epsilon)$$

[a contradiction, unless  $\alpha = \alpha' = 1$ ]. Thus,  $\alpha = \alpha' = 1$ , i.e., f(x) = x for all  $x \in I$ , so h is affine ortho-linear, as desired.  $\Box$ 

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